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<b>(21) International Application Number:</b> PCT/GB99/03750  <b>(22) International Filing Date:</b> 11 November 1999 (11.11.99)  <b>(30) Priority Data:</b> <table border="0" style="width: 100%;"> <tr> <td style="width: 30%;">9825000.4</td> <td style="width: 40%;">13 November 1998 (13.11.98)</td> <td style="width: 30%;">GB</td> </tr> <tr> <td>9825001.2</td> <td>13 November 1998 (13.11.98)</td> <td>GB</td> </tr> <tr> <td>9902525.6</td> <td>4 February 1999 (04.02.99)</td> <td>GB</td> </tr> <tr> <td>9902522.3</td> <td>4 February 1999 (04.02.99)</td> <td>GB</td> </tr> <tr> <td>9914578.1</td> <td>22 June 1999 (22.06.99)</td> <td>GB</td> </tr> </table> <b>(71) Applicant (for all designated States except US):</b> CYCLACEL LIMITED [GB/GB]; Dundee Technopole, James Lindsay Place, Dundee DD1 5JJ (GB).  <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> FISCHER, M., Peter [CH/GB]; Denley Lodge, 1 Arbirlot Road, Arbroath, Angus DD11 2EN (GB). ZHELEV, Nikolai [GB/GB]; 28 West Acres Drive, Newport-on-Tay DD6 8NR (GB).  <b>(74) Agent:</b> NACHSHEN, Neil, Jacob; D. Young & Co., 21 New Fetter Lane, London EC4A 1DA (GB).		9825000.4	13 November 1998 (13.11.98)	GB	9825001.2	13 November 1998 (13.11.98)	GB	9902525.6	4 February 1999 (04.02.99)	GB	9902522.3	4 February 1999 (04.02.99)	GB	9914578.1	22 June 1999 (22.06.99)	GB	<b>(81) Designated States:</b> AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>Without international search report and to be republished upon receipt of that report.</i>
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<b>(54) Title:</b> TRANSPORT VECTORS  <b>(57) Abstract</b>  <p>The invention relates to modified and truncated forms of the membrane transport vector penetratin. Such truncated forms include 7-mer peptides that may in themselves include further variation.</p>																	

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WO 00/29427

PCT/GB99/03750

### TRANSPORT VECTORS

The present invention relates to novel membrane translocation peptide carrier moieties and  
5 membrane translocation vectors comprising a novel peptide carrier moiety together with a  
cargo moiety, of use in the improved delivery of therapeutic agents into target cells.

The pharmaceutical industry has for many years concerned itself with the efficient delivery of  
therapeutic agents. This problem may be attributed to the short clearance time of the agent in  
10 the body (short half-life), the location of the site of action or possibly the nature of the  
therapeutic agent itself, for example, its solubility, hydrophobicity etc.. Thus, many  
developments and strategies have been adopted, including formulating the therapeutic agent  
so as to protect it from a hostile environment on route to its site of action, by for example,  
enterically coated tablets, controlled release devices and the like.

15

The development of peptide derived therapeutic agents has posed a further problem due their  
susceptibility to enzymatic degradation not only in the GI tract but also in the bloodstream.  
An example of how this problem has been addressed relates to the incorporation of the  
peptides into liposomes or polymeric microspheres that target the peptides to the lymph  
20 system.

A further related problem, especially for therapeutic agents that function intracellularly is the  
barrier posed by the cell membrane. Thus, it may be possible to increase the half life of the  
agent or ensure that it passes through the body without being degraded, but many agents must  
25 actually enter cells to exert their therapeutic effect.

Homeoproteins are trans-activating factors involved in multiple morphological processes.  
They bind to DNA through a sequence of 60 amino acid residues, the so-called  
homeodomain. The structure of this domain consists of three  $\alpha$ -helices, interrupted by a  $\beta$ -  
30 turn between helices 2 and 3 (Gehring, W.J. et al., (1990) Trends Genet 6, 323-9). The  
phylogenetic relationship between numerous homeoproteins is striking at the level of the  
homeodomain and particularly within the third  $\alpha$ -helix. This helix is responsible for both the

WO 00/29427

PCT/GB99/03750

2

interaction with DNA, as well as the capacity of homeoproteins to translocate across cell membranes to cell nuclei in a non-specific manner.

European Patent 485578 discloses that the homeodomain and specifically, helix 3 of a homeobox peptide, particularly that derived from the *Drosophila Antennapedia*, is of use as an intracellular transport vector. The patent disclosed that a specific 57 amino acid sequence of a *Drosophila Antennapedia* homeopeptide (referred to as the pAntp peptide) was capable of penetrating fibroblasts and embryo cells (in vivo). Emphasis was placed upon the last 27 amino acids of the sequence that correspond with the helix 3 and 4. There is no description of the pAntp peptide being linked to any other peptide or therapeutic agent.

Subsequent disclosures (Derossi D et al., J Biol Chem (1994) 269, 10444-10450, Derossi D et al., J Biol Chem (1996) 271, 18188-18193, Joliot AH et al., (1991) The New Biol 3, 1121-1134 and PNAS (1991) 88, 1864-1868, Perez F et al., J Cell Sci (1992) 102, 712-722), have focused on a 16 amino acid synthetic peptide derived from the third helix of the *Antennapedia* homeodomain that may be used for the intracellular delivery of bioactive products and antisense oligonucleotides. The amino acid sequence of this peptide is RQIKIWFAQNRRMKWK (SEQ ID No. 1) also known as penetratin. In the course of their investigations the above authors synthesized several variants on this sequence, these corresponding to residues 41-60, 41-55 and 46-60 of the pAntp peptide and showed that in all cases, the only peptides to internalise into the cells were those that included the residues 43-58 (Derossi D et al., *supra*).

In an effort to prevent the enzymatic cleavage of this peptide Brugidou J et al., (Biochem Biophys Res Comm (1995) 214(2), 685-693) prepared a *retro-inverso* form (D amino acids in reverse order) of SEQ ID No. 1, substituting the two isoleucine residues at positions 3 and 5 of penetratin with valine and adding a glycine residue at the C-terminus to facilitate binding to a resin. A further *retro-inverso* form was prepared replacing the extra glycine with a cholesterol moiety attached via a sulfhydryl linker group. The addition of the cholesterol moiety improved penetration due to the increased hydrophobicity of the molecule.

WO 00/29427

PCT/GB99/03750

3

This development of the *retro-inverso* form of penetratin has given rise to WO 97/12912 that discloses peptides of 16 amino acids comprising between 6 and 10 hydrophobic amino acids wherein the sixth amino acid from either end must be tryptophan. This disclosure attempts to define the minimal characteristics of sequences capable of acting as internalisation vectors as  
5 being the retention of a tryptophan residue at the sixth position from the amino terminus and that the peptide contains from 6 to 10 hydrophobic amino acid residues (the classification of hydrophobic amino residues in WO97/12912 is not believed to be in agreement with the generally accepted classification).

10 From the disclosures discussed above, as summarised in WO97/12912, it has been concluded that essential to the membrane translocating properties of the homeodomain peptides, is the presence of a tryptophan residue as the sixth residue from the amino terminus. Conforming to these requirements has been a penetratin variant of the formula (KWKK)<sub>4</sub> which has been described as having translocating ability (Maruta H et al. Cytoskeletal tumour suppressors  
15 that block oncogenic RAS signalling. Presented at Anti-Cancer Proteins and Drugs: Structure, Function and Design; 6 - 9 November 1998, New York Academy of Sciences. Poster/abstract No. 11) and Plank C et, al. (Human Gene Therapy, (1998) 10, 319-332) that discloses a number of branched membrane translocating peptides such as (KWKK)<sub>2</sub>KGGC, wherein each KWKK is joined to the following lysine residue.

20

The present invention seeks to provide a wider range of membrane translocating peptides based on penetratin, including peptides that do not contain a tryptophan residue as the sixth residue from the amino terminus and peptides that are smaller in size than penetratin. Such smaller or truncated forms of penetratin are advantageous in that they are more acceptable to  
25 the pharmaceutical industry as delivery carrier moieties, by virtue of the carrier-cargo conjugate having a advantageous immunogenicity, solubility and clearance and in some cases advantageous efficacy as compared to using a conjugate comprised of "full length" penetratin (SEQ ID No. 1). Thus, a first aspect of the present invention relates to truncated penetratin derivatives, whereas a second aspect relates to modified forms of penetratin. These first and  
30 second aspects are described below in greater detail and are both hereinafter referred to as the "carrier moiety" of a cargo delivery system.

**PCT/GB99/03750**

A first aspect of the present invention therefore relates to a membrane translocation peptide carrier moiety of formula;

5 1 16

10 of residues 43-58 of the pAntp peptide.

15 In a preferred embodiment, the peptide carrier moieties of the present invention include compounds 2 to 20 shown in Table 1 below where they are shown together with a biotinyl- $\beta$ Ala handle used for the purposes of biochemical assay. In a more preferred embodiment the peptide is compound 16, 17, 18 or 19.

20 Thus, in a preferred embodiment the carrier moiety includes the peptide sequence RRMKWKK or a variant thereof and may preferably be defined as a membrane translocation peptide carrier moiety comprising up to 15 amino acid residues and at least the peptide of formula;

or variants thereof. The preferred embodiments discussed in relation to the first aspect apply in their entirety to the peptide of formula (I). In one embodiment, the amino acid residues added to the peptide of formula (I) are those corresponding residues in penetratin, or variants thereof.

WO 00/29427

5

PCT/GB99/03750

This sequence (of formula (I)) and its variants have been observed to be the minimum sequence of the penetratin molecule necessary to facilitate membrane translocation. Thus, the above embodiments support the view that the presence of tryptophan at the sixth position from either end of the peptide is not essential for membrane translocation.

5

Within the above definitions of the peptide carrier moieties of the present invention, the specific amino acid residues of the peptide may be modified in such a manner that retains their ability to translocate, such modified peptides are referred to as "variants".

- 10 A variant of a carrier moiety as defined above includes any variation wherein; (a) one or more amino acid residues are replaced by a naturally or non-naturally occurring amino acid residue (b) the order of two or more amino acid residues is reversed, (c) both (a) and (b) are present together, (d) a spacer group is present between any two amino acid residues, (e) one or more amino acid residues are in peptoid form, (f) the (N-C-C) backbone of one or more amino acid
- 15 residues of the peptide has been modified, or any of (a)-(f) in combination. Preferably, the variants arise from one of (a), (b) or (c).

Thus, homologous substitution (substitution and replacement are both used herein to mean the interchange of an existing amino acid residue, with an alternative residue) may occur i.e.

- 20 like-for-like substitution such as basic for basic, acidic for acidic, polar for polar etc. Non-homologous substitution may also occur i.e. from one class of residue to another or alternatively involving the inclusion of unnatural amino acids such as ornithine (hereinafter referred to as Z), diaminobutyric acid ornithine (hereinafter referred to as B), norleucine ornithine (hereinafter referred to as O), pyriylalanine, thienylalanine, naphthylalanine and
- 25 phenylglycine, a more detailed list of which appears below. Within each peptide carrier moiety more than one amino acid residue may be modified at a time.

As used herein, amino acids are classified according to the following classes;

- 30 basic; H, K, R  
acidic; D, E  
non-polar; A, F, G, I, L, M, P, V, W

WO 00/29427

PCT/GB99/03750

6

polar; C, N, Q, S, T, Y,

(using the internationally accepted single letter amino acid notation)

and homologous and non-homologous substitution is defined using these classes. Thus, homologous substitution is used to refer to substitution from within the same class, whereas  
5 non-homologous substitution refers to substitution from a different class or by an unnatural amino acid.

Suitable spacer groups that may be inserted between any two amino acid residues of the carrier moiety include alkyl groups such as methyl, ethyl or propyl groups in addition to  
10 amino acid spacers such as glycine or  $\beta$ -alanine residues. A further form of variation, type (e), involving the presence of one or more amino acid residues in peptoid form, will be well understood by those skilled in the art. For the avoidance of doubt, "the peptoid form" is used to refer to variant amino acid residues wherein the  $\alpha$ -carbon substituent group is on the residue's nitrogen atom rather than the  $\alpha$ -carbon. Processes for preparing peptides in the  
15 peptoid form are known in the art, for example Simon RJ et al., PNAS (1992) 89(20), 9367-9371 and Horwell DC, Trends Biotechnol. (1995) 13(4), 132-134. Type (f) modification may occur by methods such as those described in International Application PCT/GB99/01855.

Within the definition of formula (I) it has been demonstrated that it is preferable for amino  
20 acid variation, preferably of type (a) or (b), to occur independently at any of positions 1, 2, 3, 5 or 6. More preferably, amino acid variation occurs at positions 3 or 7, especially 3. Homologous substitution has been found to be preferable at positions 1 and 2, whereas positions 3, 4, 5 and 6 have surprisingly been observed to accept non-homologous substitution. As mentioned above more than one homologous or non-homologous  
25 substitution may occur simultaneously, for example at positions 2 and 3, 4 and 5 or 5 and 6. Further variation may occur by virtue of reversing the sequence of a number of amino acid residues within a sequence. For example in the peptide sequence RRMKWKK, the lysine and tryptophan residues may be reversed to give a peptide RRMWKKK. This modification may additionally occur in combination with a homologous or non-homologous substitution,  
30 for example, the sequence RROKWKK giving rise to RROWKKK.



WO 00/29427

PCT/GB99/03750

7

The carrier moiety may include further amino acid residues at the amino terminal end, more preferably by the addition of from 1 to 3 amino acid residues. Thus, a further embodiment of this aspect of the present invention relates to a peptide selected from RRMKWKK, NRRMKWKK, QNRRMKWKK and FQNRRMKWKK.

5

In the most preferred embodiment of the first aspect of the invention, the truncated form of penetratin is of formula (I) described above or more preferably to a 7 amino acid peptide selected from KRMKWKK, RKMKWKK, RREKWKK, RRQKWKK, RROKWKK, RRMKQKK, RRMKWFK, RORKWKK, RRMWKKK, RROWKKK, RRMKKWK and  
10 RROKKWK, most preferably, the peptide carrier moiety is RRMKWKK.

A second aspect of the present invention relates to a membrane translocation peptide carrier moiety of formula;

15 RQIKIWFQNRRMKWKK (SEQ ID No. 1)

1 16

wherein at least one amino acid residue is replaced by an alternative natural or unnatural replacement amino acid residue.

20

In a preferred embodiment of the second aspect of the present invention, the sixth amino acid residue from the amino terminus of the peptide is not tryptophan. As will be described below, it has been demonstrated that the prevalent accepted principle that tryptophan must be present at this position is unfounded and hence a wider range of membrane translocating  
25 peptides has been identified.

In a preferred embodiment, the peptide carrier moieties of the present invention includes compounds 21 to 36 in (SEQ ID Nos.) shown in Table 3 below where they are shown together with a biotinyl- $\beta$ Ala handle used for the purposes of biochemical assay. In a more  
30 preferred embodiment the peptide is compound such as compound 26, wherein the sixth amino acid from the amino terminus is not tryptophan.

WO 00/29427

8

PCT/GB99/03750

- In one embodiment the replacement amino acid residue is selected from the residues of alanine, arginine, asparagine, aspartic acid, cysteine, glutamic acid, glutamine, glycine, histidine, isoleucine, leucine, lysine, methionine, phenylalanine, proline, serine, threonine, tryptophan, tyrosine, and valine. The replacement amino acid residue may additionally be selected from unnatural amino acids. Within the above definitions of the peptide carrier moieties of the present invention, the specific amino acid residues of the peptide may be modified in such a manner that retains their ability to translocate, such modified peptides are referred to as "variants".
- 10 A variant of a carrier moiety as defined above includes any variation wherein; (a) one or more amino acid residues are replaced by a naturally or non-naturally occurring amino acid residue (b) the order of two or more amino acid residues is reversed, (c) both (a) and (b) are present together, (d) a spacer group is present between any two amino acid (e) one or more amino acid residues are in peptoid form, (f) the (N-C-C) backbone of one or more amino acid residues of the peptide has been modified, or any of (a)-(f) in combination. Preferably, the variants arise from one of (a), (b) or (c).

- Thus, homologous substitution (substitution and replacement are both used herein to mean the interchange of an existing amino acid residue, with an alternative residue) may occur i.e. like-for-like substitution such as basic for basic, acidic for acidic, polar for polar etc. Non-homologous substitution may also occur i.e. from one class of residue to another or alternatively involving the inclusion of unnatural amino acids such as ornithine (hereinafter referred to as Z), diaminobutyric acid ornithine (hereinafter referred to as B), norleucine ornithine (hereinafter referred to as O), pyrrolalanine, thienylalanine, naphthylalanine and phenylglycine. Within each peptide carrier moiety more than one amino acid residue may be modified at a time.

As used herein, amino acids are classified according to the following classes;

- 30 basic; H, K, R  
acidic; D, E  
non-polar; A, F, G, I, L, M, P, V, W

WO 00/29427

PCT/GB99/03750

9

polar; C, N, Q, S, T, Y,

(using the internationally accepted single letter amino acid notation)

and homologous and non-homologous substitution is defined using these classes. Thus, homologous substitution is used to refer to substitution from within the same class, whereas  
 5 non-homologous substitution refers to substitution from a different class or by an unnatural amino acid.

Suitable spacer groups that may be inserted between any two amino acid residues of the carrier moiety include alkyl groups such as methyl, ethyl or propyl groups in addition to  
 10 amino acid spacers such as glycine or  $\beta$ -alanine residues. A further form of variation, type (e), involving the presence of one or more amino acid residues in peptoid form, will be well understood by those skilled in the art. For the avoidance of doubt, "the peptoid form" is used to refer to variant amino acid residues wherein the  $\alpha$ -carbon substituent group is on the residue's nitrogen atom rather than the  $\alpha$ -carbon. Processes for preparing peptides in the  
 15 peptoid form are known in the art, for example Simon RJ et al., PNAS (1992) 89(20), 9367-9371 and Horwell DC, Trends Biotechnol. (1995) 13(4), 132-134.

Further non-natural amino acid derivatives that may be used in the context of the either the first or second aspects of the present include;  $\alpha$ \* and  $\alpha$ -disubstituted\* amino acids, N-  
 20 alkyl amino acids\*, lactic acid\*, halide derivatives of natural amino acids such as trifluorotyrosine\*, p-Cl-phenylalanine\*, p-Br-phenylalanine\*, p-I-phenylalanine\*, L-allyl-glycine\*,  $\beta$ -alanine\*, L- $\alpha$ -amino butyric acid\*, L- $\gamma$ -amino butyric acid\*, L- $\alpha$ -amino isobutyric acid\*, L- $\epsilon$ -amino caproic acid<sup>#</sup>, 7-amino heptanoic acid\*, L-methionine sulfone<sup>#</sup>, L-norleucine\*, L-norvaline\*, p-nitro-L-phenylalanine\*, L-hydroxyproline<sup>#</sup>, L-thioprolin\*,  
 25 methyl derivatives of phenylalanine (Phe) such as 4-methyl-Phe\*, pentamethyl-Phe\*, L-Phe (4-amino)<sup>#</sup>, L-Tyr (methyl)\*, L-Phe (4-isopropyl)\*, L-Tic (1,2,3,4-tetrahydroisoquinoline-3-carboxyl acid)\*, L-diaminopropionic acid<sup>#</sup> and L-Phe (4-benzyl)\*. The notation \* has been utilised for the purpose of the discussion above, to indicate the hydrophobic nature of the derivative whereas # has been utilised to indicate the hydrophilic nature of the derivative, #\*  
 30 indicates amphipathic characteristics.

WO 00/29427

PCT/GB99/03750

10

The peptide carrier moieties of the present invention may comprise amino acids in the L or D form, i.e. one or more residues, preferably all the residues may be in the L or D form. Within this embodiment, the peptide may be in the *retro* form for example, the peptide KKWKORR.

- 5 In a preferred embodiment of the present invention, the sixth amino acid residue from the amino terminus of the peptide is not tryptophan.

The membrane translocation peptides of the present invention are capable of translocating the cell membrane and in a preferred embodiment, also the nuclear membrane. It is irrelevant  
10 whether the peptide translocates from the exterior of the cell/nucleus or from the interior, i.e. the peptides may originate within the cytoplasm or nucleus (for example, by virtue of having being synthesised there or inserted into that compartment), and translocate to a location exterior to the cellular compartment (cytoplasm or nucleus) it originates from. In general, the peptides are prepared outside the cell and translocate from an exterior location to the  
15 cytoplasm and then optionally, on into the nucleus.

As used herein, the term "cell membrane translocation" refers to the ability of the peptide to cross the cell membrane and enter the cytosol/cytoplasm of a cell or to cross from the cytosol/cytoplasm of a cell to the exterior, extra-cellular or interstitial space.

20

The term "nuclear membrane translocation" refers to the ability of the peptide to cross the membrane structure surrounding the cell nucleus, or to cross from the cytosol/cytoplasm of a cell to the nucleus.

- 25 Figure 1 shows the RP-HPLC analysis of some of the peptide carrier moieties prepared in accordance with the first aspect of the present invention.

Figure 2 shows the results of the cell internalisation assay performed using peptide carrier moieties prepared in accordance with the first aspect of the invention.

30

Figure 3 shows the results of the cell internalisation assay performed using compound 39 (fluorescein labelled Penetratin).

WO 00/29427

PCT/GB99/03750

11

Figure 4 (A, B and C) show real-time visualisation of the cell internalisation assay performed using compound 39.

- 5 Figure 5 shows the results of the cell internalisation assay performed using peptide carrier moieties prepared in accordance with the second aspect of the present invention.

In a further aspect of the invention, a peptide carrier moiety (either the truncated or modified form of penetratin in accordance with either the first or second aspects) is linked to a cargo moiety to form a cell translocation vector. The cargo moiety may comprise oligonucleotides, nucleotides, proteins, peptides, biologically active compounds, diagnostic agents or combinations thereof.

15 In a preferred embodiment the cargo moiety is a protein or peptide and in a more preferred embodiment the cargo moiety is a biologically active agent such as a drug.

The cargo moiety may be directly or indirectly linked to the carrier moiety. In the embodiment wherein the cargo moiety is indirectly linked to the carrier, the linkage may be by an intermediary bonding group such as a sulphhydryl or carboxyl group or any larger group, all such linking groups are herein referred to as linker moieties as discussed below. Preferably, the carrier and cargo moieties are linked directly.

25 Examples of suitable oligonucleotide cargo moieties include genes, gene fragments, sequences of DNA, cDNA, RNA, nucleotides, nucleosides, heterocyclic bases, synthetic and non-synthetic, sense or anti-sense oligonucleotides including those with nuclease resistant backbones etc. or any of the above incorporating a radioactive label, that are desired to be delivered into a cell or alternatively to be delivered from a cell to its exterior. Preferably, the oligonucleotide cargo moiety is a gene or gene fragment.

30 Examples of suitable protein or peptide cargo moieties include; proteins, peptides, and their derivatives such as: antibodies and fragments thereof, cytokines and derivatives or fragments thereof, for example, the interleukins (IL) and especially the IL-1, IL-2, IL-3, IL-4, IL-5, IL-6,

WO 00/29427

PCT/GB99/03750

12

IL-7, IL-8, IL-9, IL-10, IL-11 and IL-12 subtypes thereof; colony stimulating factors, for example granulocyte-macrophage colony stimulating factor, granulocyte-colony stimulating factor (alpha and beta forms), macrophage colony stimulating factor (also known as CSF-1); haemopoietins, for example erythropoietin, haemopoietin-alpha and kit-ligand (also known as stem cell factor or Steel factor); interferons (IFNs), for example IFN- $\alpha$ , IFN- $\beta$  and IFN- $\gamma$ ; growth factors and bifunctional growth modulators, for example epidermal growth factor, platelet derived growth factor, transforming growth factor (alpha and beta forms), amphiregulin, somatomedin-C, bone growth factor, fibroblast growth factors, insulin-like growth factors, heparin binding growth factors and tumour growth factors; differentiation factors and the like, for example macrophage differentiating factor, differentiation inducing factor (DIF) and leukaemia inhibitory factor; activating factors, for example platelet activating factor and macrophage activation factor; coagulation factors such as fibrinolytic/anticoagulant agents including heparin and proteases and their pro-factors, for example clotting factors VII, VIII, IX, X, XI and XII, antithrombin III, protein C, protein S, streptokinase, urokinase, prourokinase, tissue plasminogen activator, fibrinogen and hirudin; peptide hormones, for example insulin, growth hormone, gonadotrophins, follicle stimulating hormone, leutenising hormone, growth hormone releasing hormone and calcitonin; enzymes such as superoxide dismutase, glucocerebrosidase, asparaginase and adenosine deaminase; vaccines or vaccine antigens such as, for example hepatitis-B vaccine, malaria vaccine, melanoma vaccine and HIV-1 vaccine; transcription factors and transcriptional modulators. More preferably, the cargo may be a protein or peptide selected from proteins or peptides that interfere with the cell cycle, such as p53 peptides or fragments thereof, p21<sup>WAF</sup> peptides or fragments thereof such as those described in WO96/14334 and WO97/42222, Fen1 peptides or fragments thereof such as those described in WO96/35715, p16 peptides or fragments thereof such as those described in WO97/11174 and fragments and derivatives thereof

Examples of a suitable non-nucleotide/proteinaceous biologically active cargo moieties are drug moieties selected from cytotoxic agents, anti-neoplastic agents, anti-hypertensives, cardioprotective agents, anti-arrhythmics, ACE inhibitors, anti-inflammatory's, diuretics, muscle relaxants, local anaesthetics, hormones, cholesterol lowering drugs, anti-coagulants, anti-depressants, tranquilizers, neuroleptics, analgesics such as a narcotic or anti-pyretic analgesics, anti-virals, anti-bacterials, anti-fungals, bacteriostats, CNS active agents, anti-

WO 00/29427

PCT/GB99/03750

13

convulsants, anxiolytics, antacids, narcotics, antibiotics, respiratory agents, anti-histamines, immunosuppressants, immunoactivating agents, nutritional additives, anti-tussives, diagnostic agents, emetics and anti-emetics, carbohydrates, glycosoaminoglycans, glycoproteins and polysaccharides; lipids, for example phosphatidyl-ethanolamine, phosphatidylserine and derivatives thereof; sphingosine; steroids; vitamins; antibiotics including lantibiotics; bacteriostatic and bactericidal agents; antifungal, anthelmintic and other agents effective against infective agents including unicellular pathogens; small effector molecules such as noradrenalin, alpha adrenergic receptor ligands, dopamine receptor ligands, histamine receptor ligands, GABA/benzodiazepine receptor ligands, serotonin receptor ligands, leukotrienes and triiodothyronine; cytotoxic agents such as doxorubicin, methotrexate and derivatives thereof.

Preferably the drug moiety is a cytotoxic or anti-neoplastic agent, particularly those which are used for cancer therapy. Such drugs include, in general, DNA damaging agents, anti-metabolites, anti-tumour antibiotics, natural products and their analogues, dihydrofolate reductase inhibitors, pyrimidine analogues, purine analogues, cyclin-dependent kinase inhibitors, thymidylate synthase inhibitors, DNA intercalators, DNA cleavers, topoisomerase inhibitors, anthracyclines, vinca drugs, mitomycins, bleomycins, cytotoxic nucleosides, pteridine drugs, diynes, podophyllotoxins, platinum containing drugs, differentiation inducers, and taxanes. Particularly useful members of those classes include, for example, methotrexate, methopterin, dichloromethotrexate, 5-fluorouracil, 6-mercaptopurine, tri-substituted purines such as olomoucine, roscovitine and bohemine, flavopiridol, staurosporin, cytosine arabinoside, melphalan, leucosine, actinomycin, daunorubicin, doxorubicin, mitomycin D, mitomycin A, carinomycin, aminopterin, talysomycin, podophyllotoxin, etoposide, cisplatin, carboplatinum, vinblastine, vincristine, vindesine, paclitaxel, docetaxel, taxotere, retinoic acid, butyric acid, acetyl spermidine, tamoxifen, irinotecan and camptothecin. Most preferably the drug is selected from olomoucine, roscovitine and bohemine, flavopiridol, staurosporin, and podophyllotoxin, etoposide, purvalanol derivatives, taxol, paclitaxel and camptothecin.

As discussed above the drug and carrier moieties may be linked directly or indirectly via a linker moiety. Direct linkage may occur through any convenient functional group on the drug moiety such as a hydroxy, carboxy or amino group. Indirect linkage which is preferable, will

WO 00/29427

PCT/GB99/03750

14

occur through a linking moiety. Suitable linking moieties include bi- and multi-functional alkyl, aryl, aralkyl or peptidic moieties, alkyl, aryl or aralkyl aldehydes acids esters and anhydrides, sulphhydryl or carboxyl groups, such as maleimido benzoic acid derivatives, maleimido propionic acid derivatives and succinimido derivatives or may be derived from  
5 cyanuric bromide or chloride, carbonyldiimidazole, succinimidyl esters or sulphonic halides and the like. The functional groups on the linker moiety used to form covalent bonds between linker and drugs on the one hand, as well as linker and carrier moiety on the other hand, may be two or more of, e.g., amino, hydrazino, hydroxyl, thiol, maleimido, carbonyl, and carboxyl groups, etc. The linker moiety may include a short sequence of from 1 to 4  
10 amino acid residues that optionally includes a cysteine residue through which the linker moiety bonds to the carrier moiety.

In accordance with the present invention each carrier moiety may be linked to at least one drug moiety. In a further embodiment, the carrier moiety is prepared such as to facilitate  
15 linkage to more than one cargo moiety, each cargo moiety being the same or different. For example, the carrier moiety may comprise components that themselves facilitate the attachment of more than one cargo moiety such as derivatives of naturally occurring amino acids or insertion of a multi-valent synthetic amino acid, or it may be specifically adapted to do so for example by a network of branched lysine residues that may be attached to the carrier  
20 moiety as a linking group and each lysine residue may then be attached to a cargo moiety. In this manner a single carrier moiety may carry up to 32 cargo moieties, preferably from 2 to 10 or more preferably from 4 to 5 cargo moieties. In this further embodiment each cargo moiety may be directly or indirectly linked to the carrier moiety. When more than one different type of cargo moiety is attached, it is possible to co-ordinate the ratios and dosages of the  
25 individual drugs to facilitate the administration of specific cargo combinations.

In a preferred example of this embodiment, the carrier moiety is peptide carrier moiety as defined above, with a network of lysine residues attached to at least one end facilitating the attachment of up to 32 cargo moieties.

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In a further embodiment, the translocation vector may further comprise a targeting moiety. The targeting moiety is capable of directing the carrier moiety to the specific cell type to



WO 00/29427

PCT/GB99/03750

15

which it is preferable for the cargo moiety to function. Thus, the targeting moiety acts as an address system biasing the bodies natural distribution of drugs or the delivery system to a particular cell type. The targeting moiety may be attached to the cargo moiety or more preferably to the carrier moiety and will direct the delivery system to a desired site, upon arrival at which the carrier moiety will facilitate the cellular internalisation of the cargo. Suitable targeting moieties include the peptide sequences identified by E Ruoslahti et al. in US Patent 5,622,699; Pasqualini, R, Ruoslahti, E. Nature (London) (1996), 380, 364-366, Ruoslahti, E. Ann. Rev. Cell Dev. Biol. (1996), 12, 697-715; Arap, W, Pasqualini, R, Ruoslahti, E, Science (1998), 279, 377-380. These disclosures, which are herein incorporated by reference, describe certain peptides that have been found to act as address labels to certain cell types.

In accordance with any of the above defined embodiments of the present invention, the amino acids (any number thereof, but preferably all of them) comprising the peptide carrier moiety may be in the L or D (*inverso*) form. More preferably they are in the L form.

In a further embodiment the carrier moiety as previously described may be in the *retro* form. Within this further embodiment, the amino acids (any number thereof, but preferably all of them) comprising the peptide carrier moiety may be in the L or D form.

When the cargo moiety is itself a protein or peptide, the carrier and cargo moieties may both be in the L or D forms or alternatively the carrier may be in the L form and the cargo in the D form or the carrier in the D form and the cargo in the L form.

Within the carrier moieties defined as penetratin or derivatives thereof, a further modification that is beneficial in the context of the present invention is conversion of the free carboxyl group of the carboxy terminal amino acid residue, to an carboxamide group. By way of example, when the carrier moiety is of formula I (RRMKWKK) the carboxy terminal lysine residue may have its carboxyl group converted into an carboxamide group. This modification is believed to enhance the stability of the carrier moiety and hence the delivery system as a whole. Thus, the C-terminal amino acid residue may be in the form -C(O)-NRR', wherein R and R' are each independently selected from hydrogen, C1-6 alkyl, C1-6 alkylene or C1-6

WO 00/29427

PCT/GB99/03750

16

alkynyl (collectively referred to "alk"), aryl such as benzyl or alkaryl, each optionally substituted by heteroatoms such as O, S or N. Preferably at least one of R or R' is hydrogen, most preferably, they are both hydrogen.

- 5 Thus, its most preferred embodiment, the present invention relates to a carrier moiety RRMKWKK with an optionally amidated terminal lysine residue, directly worked to a cargo moiety selected from p21<sup>WAF</sup> derived peptides, p16 derived peptides or the drugs, roscovitine, taxol or a podophyllotoxin.
- 10 The delivery systems described herein are novel chemical entities. Specific chemical entities disclosed herein include;

#	Drug moiety	Linker moiety	Carrier moiety
	paclitaxel	2'-succinimidopropionoyl-C $\beta$ A	RRMKWKK-NH <sub>2</sub>
	podophyllotoxin	4-succinimidopropionoyl-C $\beta$ A	RRMKWKK-NH <sub>2</sub>
	podophyllotoxin	4-succinimidopropionoyl-C $\beta$ A	(D-R)(D-R)(D-M)(D-K)(D-W)(D-K)(D-K-NH <sub>2</sub> )
	epipodophyllotoxin	4'-succinimidopropionoyl-C $\beta$ A	RRMKWKK-NH <sub>2</sub>
	podophyllotoxin	4-acetyl-C $\beta$ A	RRMKWKK-NH <sub>2</sub>
	4'-demethyl epipodophyllotoxin	4-acetyl-C $\beta$ A	RRMKWKK-NH <sub>2</sub>
	podophyllotoxin	4-succinimidopropionoyl-GC $\beta$ A	RRMKWKK-NH <sub>2</sub>
C-term	podophyllotoxin	4-succinimidopropionoyl-C	RRMKWKK
N-term	podophyllotoxin	4-succinimidopropionoyl-C	
N-term	epipodophyllotoxin	4'-succinimidopropionoyl-C	RRMKWKK
C-term	camptothecin	10-O-succinimidopropionoyl-C	
N-term	epipodophyllotoxin	4'-succinimidopropionoyl-C	RRMKWKK
C-term	paclitaxel	2'-(succinimido)propionoyl-C	
	4'-methoxy-epipodophyllotoxin	4-(4"-aminoanilino)succinimidopropionoyl-C $\beta$ A	RRMKWKK-NH <sub>2</sub>
	4'-demethyl-epipodophyllotoxin	4-(4"-aminoanilino)succinimidopropionoyl-C $\beta$ A	RRMKWKK-NH <sub>2</sub>

- The therapeutic effect resulting from the administration of the delivery system may arise from
- 15 the intact delivery system or any of its dissociated components that include the cargo moiety

WO 00/29427

PCT/GB99/03750

17

i.e the cargo moiety alone or bound to the linker, part of the linker or the linker and part of the carrier. Thus the term "delivery system" has been used herein to have its ordinary meaning i.e that of delivering something such as the cargo moiety and additionally to include the system or any portion thereof as being active in its intact state. Thus, the benefits provided by the system discussed above are applicable to the cargo and delivery system.

The delivery vectors may be prepared by any methods known in the art. For example, the carrier moiety peptide can be assembled using conventional solution- or solid-phase peptide synthesis methods, affording a fully protected precursor with only the terminal amino group in deprotected reactive form. This function can then be reacted directly with a cargo moiety or a suitable reactive derivative of a cargo moiety. Alternatively, this amino group may be converted into a different functional group suitable for reaction with a cargo moiety or a linker. Thus, e.g. reaction of the amino group with succinic anhydride will provide a selectively addressable carboxyl group, while further peptide chain extension with a cysteine derivative will result in a selectively addressable thiol group. Once a suitable selectively addressable functional group has been obtained in the delivery vector precursor, a cargo moiety or a derivative thereof may be attached through e.g. amide, ester, or disulphide bond formation. Alternatively, a linker group, e.g. *m*-maleimidobenzoyl, is introduced by reaction of a linker group precursor with the selectively addressable function of the delivery vector precursor, followed by formation of a covalent bond between the linker group and a cargo moiety. Multivalent cargo-delivery vector constructs may be obtained, *inter alia*, by successive extension of the selectively addressable delivery vector precursor with trivalent chemical groups. Thus peptide chain extension with e.g. N<sup>α</sup>-Fmoc-protected Lys derivatives will afford di-, tetra-, and octa-valent construct precursors after one, two, or three coupling/Fmoc-deprotection cycles.

Using these methods, the skilled person will be capable of preparing a wide variety of cargo-carrier conjugates utilising a variety of linker moieties. As exemplified below, an appropriate group on the cargo moiety may be selected for attachment to the carrier moiety and if desired a linker joined to the cargo or carrier moiety, or both prior to their coupling.

The compounds of the present invention may be formulated with a physiologically acceptable diluent or carrier for use as pharmaceuticals for both veterinary, for example in mammals, and

WO 00/29427

PCT/GB99/03750

18

particularly human use by a variety of methods. For instance, they may be applied as a composition incorporating a liquid diluent or carrier, for example an aqueous or oily solution, suspension or emulsion, which may often be employed in injectable form for parental administration and therefore may conveniently be sterile and pyrogen free. Oral  
5 administration may also be used and although compositions for this purpose may incorporate a liquid diluent or carrier, it is more usual to use a solid, for example a conventional solid carrier material such as starch, lactose, dextrin or magnesium stearate. Such solid compositions may take the form of powders but are more conveniently of a formed type, for example as tablets, cachets, or capsules (including spansules). Alternative, more specialized  
10 types of formulation include liposomes and nanoparticles.

Other types of administration than by injection or through the oral route which are of use in both human and veterinary contexts include the use of suppositories or pessaries. Another form of pharmaceutical composition is one for buccal or nasal administration or  
15 administration to the airways such as alveolar tissue. Other formulations of topical administration include lotions, ointments, creams, gels and sprays.

Compositions may be formulated in unit dosage form, i.e. in the form of discrete portions containing a unit dose, or a multiple or sub-unit of a unit dose.

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The translocation vectors of the present invention provides several advantages over known delivery systems. These advantages include improved efficacy compared to conventional treatments, improved cellular uptake of the therapeutic agent, improved water solubility, reduction of side effects and cellular bioavailability and decreased occurrence of drug  
25 resistance.

## EXAMPLES

Example 1; Preparation of a series of peptides (peptides 1 - 20), being truncated forms of penetratin (SEQ ID No. 1).

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### Abbreviations.

Amino acid and peptide nomenclature conforms to IUPAC-IUB rules (*Eur. J. Biochem.* 1984,

138, 9-37). Other abbreviations: Ahx, 6-aminohexanoyl; APase, alkaline phosphatase. DE MALDI-TOF MS, delayed-extraction matrix-assisted laser desorption ionisation time-of-flight mass spectrometry. DIEA, *N,N*-diisopropylethylamine. PBS, phosphate-buffered saline (10 mM phosphate, 150 mM NaCl, pH 7.4); PyBOP, Benzotriazole-1-yl-oxy-tris-pyrrolidino-phosphonium hexafluorophosphate; RP-HPLC, reversed-phase high-performance liquid chromatography; TFA, trifluoroacetic acid.

### 1.1: Materials and Methods

#### 10 *General*

The peptide deprotection / cleavage mixture used throughout was as follows: 0.75 : 0.5 : 0.5 : 0.25 : 10 (w / v / v / v / v) PhOH, H<sub>2</sub>O, PhSMe, 1,2-ethanedithiol, TFA (Beavis, R.C. et al., (1992) Organic Mass Spectrometry 27, 156-158). Analytical and preparative RP-HPLC was performed using Vydac 218TP54 (4.6 x 250 mm) and 218TP1022 (22 x 250 mm) columns, respectively. Flow rates of 1 mL/min for analytical runs and 9 mL/min for preparative work were used (at 25 °C). Gradient elution with increasing amounts of MeCN in H<sub>2</sub>O (containing 0.1 % TFA) over 20 min (anal.) and 40 min (prep.) was performed. Eluants were monitored at  $\lambda$  = 200 – 300 nm. Peptide samples were also analysed by DE MALDI-TOF mass spectrometry (ThermoBioAnalysis Dynamo instrument). An  $\alpha$ -cyano-4-hydroxycinnamic acid matrix (Beavis, R.C. et al., (1992) Organic Mass Spectrometry 27, 156-158) was used and the appropriate m/z range was calibrated using authentic peptide standards in the m/z range 1,000 – 2,600.

#### 1.2: Simultaneous multiple synthesis of peptides 1 - 20

25 Peptides were synthesised using a Multipin Peptide Synthesis Kit (Chiron Technologies Pty. Ltd., Clayton, VIC, Australia). Peptide chains were assembled on "Macro Crowns" (SynPhase HM Series I, Rink Amide Linker; 5.3  $\mu$ mol/crown) using Fmoc-amino acids (100 mM in DMF) and PyBOP / HOBt / DIEA (1 : 1 : 1.5) coupling chemistry. The amino acid side-chain protecting groups were 2,2,5,7,8-pentamethylchroman-6-sulphonyl (Arg), trityl (Asn and Gln), and *t*-butyloxycarbonyl (Lys and Trp). Activated amino acid solutions were dispensed using a PinAID device (Chiron Technologies). Coupling reactions were allowed to proceed for a minimum of 4 h. All other chain assembly manipulations, including repetitive deprotection reactions (20 % piperidine in DMF) and washing cycles (DMF and MeOH),

WO 00/29427

PCT/GB99/03750

20

were carried out according to procedures set out in the kit manual. After coupling and deprotection of the *N*-terminal  $\beta$ Ala residues, (+)-biotin (300 mM in DMF) was coupled (chemistry as above for amino acids) during 4 h. After washing and drying, the "Macro Crowns" were removed from the synthesis device and placed into 10-mL capped polypropylene tubes. To each tube was added 1.5 mL of cleavage / deprotection mixture. After 2 h, the "Macro Crowns" were removed and washed with 0.5 mL each of neat TFA. To each tube containing the combined cleavage mixtures and washings  $\text{Et}_2\text{O}$  (8 mL) was added. After cooling to 4 °C, the precipitated peptides were collected by centrifugation (4 min at 5,000 r.p.m.) and decantation. The pellets were resuspended in  $\text{Et}_2\text{O}$  (5 mL / tube). The suspensions were again cooled and the peptides isolated as before. The washing process was repeated once more before the crude peptides were dried *in vacuo*.

The crude peptides were redissolved in 0.1 % aq TFA using sonication (2 mL / sample) and were applied to primed (MeOH then 0.1 % aq TFA) solid-phase extraction cartridges (Merck LiChrolut RP-18, 500 mg). These were successively washed (2 x 2 mL 0.1 % aq TFA each) and eluted (2 mL 0.1 % TFA in 6 : 4 MeCN /  $\text{H}_2\text{O}$ ). The eluates were evaporated to dryness by vacuum centrifugation. Yields and analytical data for the title compounds are summarised in Table 2.

20 TABLE 1

N°	Peptide
1	Biotinyl- $\beta$ Ala-Arg-Gln-Ile-Lys-Ile-Trp-Phe-Gln-Asn-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH <sub>2</sub>
2	Biotinyl- $\beta$ Ala-Arg-Gln-Ile-Lys-Ile-Trp-Phe-Gln-Asn-Arg-Arg-Met-Lys-Trp-Lys-NH <sub>2</sub>
3	Biotinyl- $\beta$ Ala-Arg-Gln-Ile-Lys-Ile-Trp-Phe-Gln-Asn-Arg-Arg-Met-Lys-Trp-NH <sub>2</sub>
4	Biotinyl- $\beta$ Ala-Arg-Gln-Ile-Lys-Ile-Trp-Phe-Gln-Asn-Arg-Arg-Met-Lys-NH <sub>2</sub>
5	Biotinyl- $\beta$ Ala-Arg-Gln-Ile-Lys-Ile-Trp-Phe-Gln-Asn-Arg-Arg-Met-NH <sub>2</sub>
6	Biotinyl- $\beta$ Ala-Arg-Gln-Ile-Lys-Ile-Trp-Phe-Gln-Asn-Arg-Arg-NH <sub>2</sub>
7	Biotinyl- $\beta$ Ala-Arg-Gln-Ile-Lys-Ile-Trp-Phe-Gln-Asn-Arg-NH <sub>2</sub>
8	Biotinyl- $\beta$ Ala-Arg-Gln-Ile-Lys-Ile-Trp-Phe-Gln-Asn-NH <sub>2</sub>
9	Biotinyl- $\beta$ Ala-Arg-Gln-Ile-Lys-Ile-Trp-Phe-Gln-NH <sub>2</sub>
10	Biotinyl- $\beta$ Ala-Arg-Gln-Ile-Lys-Ile-Trp-NH <sub>2</sub>
11	Biotinyl- $\beta$ Ala-Gln-Ile-Lys-Ile-Trp-Phe-Gln-Asn-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH <sub>2</sub>
12	Biotinyl- $\beta$ Ala-Ile-Lys-Ile-Trp-Phe-Gln-Asn-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH <sub>2</sub>
13	Biotinyl- $\beta$ Ala-Lys-Ile-Trp-Phe-Gln-Asn-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH <sub>2</sub>
14	Biotinyl- $\beta$ Ala-Ile-Trp-Phe-Gln-Asn-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH <sub>2</sub>
15	Biotinyl- $\beta$ Ala-Trp-Phe-Gln-Asn-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH <sub>2</sub>
16	Biotinyl- $\beta$ Ala-Phe-Gln-Asn-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH <sub>2</sub>
17	Biotinyl- $\beta$ Ala-Gln-Asn-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH <sub>2</sub>

WO 00/29427

PCT/GB99/03750

21

18	Biotinyl-βAla-Asn-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH <sub>2</sub>
19	Biotinyl-βAla-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH <sub>2</sub>
20	Biotinyl-βAla-Arg-Met-Lys-Trp-Lys-Lys-NH <sub>2</sub>

TABLE 2

*Chromatographic and mass spectrometric analysis of peptides 1 - 20*

N <sup>o</sup>	Formula	M <sub>r</sub>	MS <sup>a</sup>	Yield			Anal. RP-HPLC	
			[M+H] <sup>+</sup>	mg <sup>b</sup>	μmol	% <sup>c</sup>	t <sub>R</sub> (min) <sup>d</sup>	Purity (%) <sup>e</sup>
1	C <sub>117</sub> H <sub>188</sub> N <sub>38</sub> O <sub>22</sub> S <sub>2</sub>	2543.12	2544.1	6.7	2.6	50	19.0	78
2	C <sub>111</sub> H <sub>176</sub> N <sub>36</sub> O <sub>21</sub> S <sub>2</sub>	2414.95	2416.0	6.8	2.8	53	19.4	78
3	C <sub>103</sub> H <sub>164</sub> N <sub>34</sub> O <sub>20</sub> S <sub>2</sub>	2286.77	2287.8	4.8	2.1	40	20.3	79
4	C <sub>94</sub> H <sub>154</sub> N <sub>32</sub> O <sub>19</sub> S <sub>2</sub>	2100.56	2101.6	7.5	3.6	67	18.5	75
5	C <sub>88</sub> H <sub>142</sub> N <sub>30</sub> O <sub>18</sub> S <sub>2</sub>	1972.39	1973.4	6.5	3.3	62	19.1	81
6	C <sub>83</sub> H <sub>133</sub> N <sub>29</sub> O <sub>17</sub> S	1841.20	1842.2	5.6	3.1	58	18.5	98
7	C <sub>77</sub> H <sub>121</sub> N <sub>25</sub> O <sub>16</sub> S	1685.01	1686.0	7.0	4.2	78	19.0	95
8	C <sub>71</sub> H <sub>109</sub> N <sub>21</sub> O <sub>15</sub> S	1528.82	1529.8	4.8	3.1	59	19.7	95
9	C <sub>67</sub> H <sub>103</sub> N <sub>19</sub> O <sub>13</sub> S	1414.72	1415.7	4.8	3.4	64	20.2	90
10	C <sub>53</sub> H <sub>86</sub> N <sub>16</sub> O <sub>10</sub> S	1139.42	1140.4	3.2	2.8	53	17.9	93
11	C <sub>111</sub> H <sub>176</sub> N <sub>34</sub> O <sub>21</sub> S <sub>2</sub>	2386.93	2387.9	5.1	2.1	40	19.5	82
12	C <sub>106</sub> H <sub>168</sub> N <sub>32</sub> O <sub>19</sub> S <sub>2</sub>	2258.80	2259.8	5.0	2.2	42	19.8	85
13	C <sub>100</sub> H <sub>157</sub> N <sub>31</sub> O <sub>18</sub> S <sub>2</sub>	2145.65	2146.7	5.8	2.7	51	17.6	90
14	C <sub>94</sub> H <sub>145</sub> N <sub>29</sub> O <sub>17</sub> S <sub>2</sub>	2017.47	2018.5	6.6	3.3	62	19.1	87
15	C <sub>88</sub> H <sub>134</sub> N <sub>28</sub> O <sub>16</sub> S <sub>2</sub>	1904.32	1905.3	5.3	2.8	53	17.9	90
16	C <sub>77</sub> H <sub>124</sub> N <sub>26</sub> O <sub>15</sub> S <sub>2</sub>	1718.11	1719.1	5.3	3.1	58	14.9	91
17	C <sub>68</sub> H <sub>115</sub> N <sub>25</sub> O <sub>14</sub> S <sub>2</sub>	1570.93	1571.9	5.6	3.6	67	12.2	93
18	C <sub>63</sub> H <sub>107</sub> N <sub>23</sub> O <sub>12</sub> S <sub>2</sub>	1442.80	1443.8	4.4	3.0	57	12.4	93
19	C <sub>59</sub> H <sub>101</sub> N <sub>21</sub> O <sub>10</sub> S <sub>2</sub>	1328.70	1329.7	5.1	3.9	73	12.7	94
20	C <sub>53</sub> H <sub>89</sub> N <sub>17</sub> O <sub>9</sub> S <sub>2</sub>	1172.51	1173.5	5.0	4.3	81	13.2	96

WO 00/29427

PCT/GB99/03750

22

<sup>a</sup>By DE MALDI-TOF MS.

<sup>b</sup>After solid-phase extraction and vacuum centrifugation.

<sup>c</sup>Relative to 5.3  $\mu$ mol loading of synthesis 'crowns'.

5 <sup>d</sup>Gradient 5 - 35 % (peptides 1 - 20) in 0.1 % aq TFA over 20 min.

<sup>e</sup>From chromatogram integration ( $\lambda$  = 214 nm).

#### Preparation of reduced linear and oxidised cyclic peptides 37 and 38

The peptide sequence was assembled on Fmoc-Cys(Trt)-resin (*p*-hydroxymethylphenoxyacetic acid handle, 0.50 mmol/g functionality, 0.50 g, 0.25 mmol; ABI 401418) using an ABI 433A Peptide Synthesizer (Perkin-Elmer Applied Biosystems) and standard "0.25 mmol FastMoc MonPrevPk" chemistry. After final Fmoc-deprotection and washing (Et<sub>2</sub>O), the peptidyl resin was dried *in vacuo* (1.43 g, 91 %). An aliquot (285 mg, *ca.* 0.05 mmol) of this material was resuspended in DMF, 10 drained, and reacted with biotinamidocaproate *N*-hydroxysuccinimide ester (137 mg, 0.3 mmol), HOBt (50 mg, 0.3 mmol) and DIEA (0.14 mL 0.8 mmol) in DMF (3 mL) for 18 h under N<sub>2</sub>. The resin was then washed successively with DMF, CH<sub>2</sub>Cl<sub>2</sub> and Et<sub>2</sub>O, before being dried *in vacuo*. 15

20 The above biotinylated peptidyl resin (290 mg, *ca.* 0.05 mmol) was treated with cleavage / deprotection mixture (5 mL) for 2.5 h. Resin residue was then filtered off. The filtrate was treated with Et<sub>2</sub>O (45 mL), the mixture was cooled and the precipitated peptide was collected by centrifugation (2 min at 4,000 r.p.m.). The crude biotinylated peptide (141 mg, *ca.* quant.) was washed twice more with Et<sub>2</sub>O in a similar manner 25 before being dried *in vacuo*. A sample (20 mg) of this material was dissolved in 0.1 % aq TFA (2 mL), the solution was filtered and fractionated by prep. RP-HPLC. Fractions containing pure material (by anal. RP-HPLC) were pooled and lyophilised to afford pure peptide 37 (12.1 mg). Anal. RP-HPLC:  $t_R$  = 20.8 min, purity > 99 % at  $\lambda$  = 214 nm (20 - 30 % MeCN gradient). DE MALDI-TOF MS:  $[M + H]^+ = 2776$ ,  $[2M + H]^{2+} = 1389$  (C<sub>127</sub>H<sub>205</sub>N<sub>39</sub>O<sub>25</sub>S<sub>3</sub> = 2774.43). 30



WO 00/29427

PCT/GB99/03750

23

Crude peptide 37 (before prep. RP-HPLC, 35 mg) was dissolved in aq  $\text{NH}_4\text{HCO}_3$  solution (0.1 M, 70 mL). The uncapped mixture was stirred for 18 h at room temperature. The resulting suspension was then acidified to pH 4 with AcOH (ca. 2 mL) to yield a clear solution which was evaporated to dryness by vacuum centrifugation for 18 h. The residue was redissolved in 0.1 % aq TFA (2 mL) and purified by prep. RP-HPLC in a similar manner to the above reduced precursor 37 except that the gradient was developed from 20 - 30 % MeCN. After lyophilisation, pure peptide 38 (4.5 mg) was obtained. Anal. RP-HPLC:  $t_R$  = 15.7 min, purity > 99 % at  $\lambda$  = 214 nm (20 - 30 % gradient). DE MALDI-TOF MS:  $[M + H]^+ = 2774$ ,  $[2M + H]^{2+} = 1388$  ( $\text{C}_{127}\text{H}_{203}\text{N}_{39}\text{O}_{25}\text{S}_3 = 2772.42$ ).

#### Preparation of Fluorescein-labelled Penetratin 39

The sequence was assembled in a similar fashion as described for peptide 37, except that Fmoc-Lys(Boc)-Resin (0.5 mmol/g loading; ABI 401425) was used. The H- $\beta$ Ala-Arg(Pmc)-Gln(Trt)-Ile-Lys(Boc)-Ile-Trp-Phe-Gln(Trt)-Asn(Trt)-Arg(Pmc)-Arg(Pmc) - Met-Lys(Boc)-Trp-Lys(Boc)-Lys(Boc)-Resin (300 mg, ca. 0.055 mmol) was reacted with 5-carboxyfluorescein (103 mg, 0.27 mmol; Sigma C 0537), PyBOP (142 mg, 0.27 mmol), HOBt (37 mg, 0.27 mmol), and DIEA (71 mL, 0.41  $\mu\text{mol}$ ) in DMF (5mL) under  $\text{N}_2$  and in the dark during 18 h. It was then washed (DMF,  $\text{CH}_2\text{Cl}_2$ , and  $\text{Et}_2\text{O}$ ) and dried *in vacuo*. After treatment during 2 h with cleavage / deprotection mixture (12 mL) in the dark and work-up as above, crude peptide was obtained (183 mg). An aliquot (90 mg) was purified by preparative RP-HPLC to afford pure peptide after lyophilisation (38 mg). Anal. RP-HPLC:  $t_R$  = 15.7 min, purity > 99 % at  $\lambda$  = 214 nm (22.5 - 32.5 % gradient). DE MALDI-TOF MS:  $[M + H]^+ = 2677$ ,  $[2M + H]^{2+} = 5359$  ( $\text{C}_{128}\text{H}_{183}\text{N}_{35}\text{O}_{27}\text{S} = 2676.11$ ).

#### 1.3: Peptide internalisation assay

HaCaT cells (immortalised 'normal' human fibroblast cell line) were seeded into 96-well plates at 50,000 cells per well in medium (DMEM) with 10 % foetal calf serum and antibiotics. After an overnight incubation, peptides were prepared as dilution series

WO 00/29427

PCT/GB99/03750

24

in cell medium and were added to the cells. At the end of the incubation period (usually 10 and 60 min), the cells were rinsed three times with PBS and fixed for 20 min at  $-20^{\circ}\text{C}$  in EtOH / AcOH (95 : 5). After the fixation, the cells were made permeable by treatment for 10 min with PBS containing 3 % Tween-20. Endogenous alkaline phosphatase activity was neutralised by incubation at  $65^{\circ}\text{C}$  for 60 min. Cells were incubated for 30 min at room temperature with alkaline phosphatase-streptavidin (Pierce Chemical Co., Rockford, IL, USA) in 0.1 % BSA in PBS and washed extensively with PBS. Freshly prepared substrate solution (1 mg/mL *p*-nitrophenyl phosphate disodium (Pierce Chemical Co.) in 10 mM diethanolamine (pH 9.5) containing 0.5 mM  $\text{MgCl}_2$  was added to each well and incubated until sufficient colour had developed (approximately 30 min.). The enzymatic reaction was stopped by adding 50  $\mu\text{L}$  2 M aq NaOH to each well. Alkaline phosphatase activity was measured spectrophotometrically at 405 nm.

15

#### 1.4: Results

##### 1.4.1: Peptide synthesis

Peptides 1 – 20 were prepared simultaneously using the so-called Multipin<sup>TM</sup> method (Valerio, R.M. et al., (1993) International Journal of Peptide and Protein Research 42, 1-9). On average 2 coupling/deprotection cycles were performed per day and the entire synthesis, including biotinylation, cleavage / deprotection, purification by solid phase extraction, and analysis, were completed within a fortnight. The isolated and purified yields of the peptides ranged from 40 – 81 % and purities were 75 – 96 % (Table 2). The excellent quality of the peptides is demonstrated in Figure 1. The main impurities observed (MS, data not shown) were Met(O)-containing peptides (leading peaks on traces in Fig. 1. Methionine sulfoxide formation appears to be a general problem attendant in the Multipin method, presumably due to oxidation during the extended air drying cycles after the acylation and deprotection steps. In principle it is possible to back-reduce Met(O) in peptides; e.g., on an analytical scale we were able to convert the Met(O)-containing impurity to 1 cleanly using  $\text{NH}_4\text{I}$  /  $\text{Me}_2\text{S}$  in TFA (Nicolás, E et al., (1995) Tetrahedron 51, 57013) (results not shown).

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WO 00/29427

PCT/GB99/03750

25

#### 1.4.2: Determination of minimal active sequence

As discussed above, the 16mer peptide subtending residues 43 – 58 of the Antennapedia homeodomain was originally identified as the minimal sequence retaining efficient translocation properties using peptides corresponding to residues 41 - 60, 43 - 58, 41 - 55, and 46 – 60. The results (*Figure 2*) show that truncation at the C-terminus of peptide 1 results in a reduction in the degree of internalisation but nevertheless, the truncated peptides were still capable of translocating the cell membrane. Successive truncation from the *N*-terminus of peptide 1, however shows that significant levels of translocation are retained in the truncated peptides in many cases approaching the level attained by peptide 1 itself. Little activity was lost upon the first three truncations (11 - 13) but only about half of the original signal was left with derivatives 14 and 15. However, at the 10mer to 7mer (16 – 19) stage almost full membrane translocation efficiency relative to control peptide 1 was regained before a severe drop was observed with the *C*-terminal 6mer peptide 20. This effect was reproducible in several independent experiments. While values for only one peptide concentration are shown in *Figure 2*, dose-response curves for each individual peptide have shown that the same pattern was seen, regardless of peptide concentration. Non-specific binding in the absence of cells has been found to be uniform and negligible (results not shown).

#### 1.4.3 Peptide internalisation assay of fluorescein-labelled Penetratin 39

This assay permitted the study and measurement of cell internalisation without the possibility of observing artefacts emanating from the cell manipulations necessary with the biotinylated peptides. *Figure 4* shows the direct measurement of peptide internalisation into live cells. (squares when  $t=10$  mins, diamonds when  $t=60$  mins). As can be seen in *Figure 4*, biotinylated Penetratin 1 localises predominantly to the cell nucleus and accumulates in the nucleoli, with lesser concentration in the cytosol. Clearly the distribution is very similar when the direct fluorescent probe 39 is used, thus validating the indirect biotin – avidin visualisation approach. The fact that Penetratin appears to localise mainly to the nucleus shows that this peptide can in fact

WO 00/29427

PCT/GB99/03750

26

translocate across both plasma and nuclear membranes. Nucleolar accumulation may be due to non-specific binding of the positively charged peptide to DNA.

**Example 2; Further truncated penetratin derivatives;**

5

2.1 In accordance with the methods described in sections 1.1 and 1.2 above, the following peptides were prepared;

RRMKWKK,

NRRMKWKK,

10 QNRRMKWKK,

KRMKWKK,

RKMKWKK,

RREKWKK,

RRQKWKK,

15 RROKWKK,

RRMKQKK,

RRMKWFK,

RORKWKK,

RRMWKKK,

20 RROWKKK,

RRMKKWK,

RROKKWK,

KKWKORR.

25 Each of these peptides were used in the peptide internalisation assay described in section 1.3 above and was found to be internalised into cells.

**Example 3; Preparation of a series of full-length peptides in which each residue in**

30 turn was substituted with alanine (21 - 36).

WO 00/29427

PCT/GB99/03750

27

**3.1: Simultaneous multiple synthesis of peptides 21 - 36**

Peptides were prepared in the manner described in sections 1.1 and 1.2 above. Yield and analytical data for the title compounds are summarised in Table 3.

5 TABLE 3

N°	Peptide
1	Biotinyl-βAla-Arg-Gln-Ile-Lys-Ile-Trp-Phe-Gln-Asn-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH <sub>2</sub>
21	Biotinyl-βAla-Ala-Gln-Ile-Lys-Ile-Trp-Phe-Gln-Asn-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH <sub>2</sub>
22	Biotinyl-βAla-Arg-Ala-Ile-Lys-Ile-Trp-Phe-Gln-Asn-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH <sub>2</sub>
23	Biotinyl-βAla-Arg-Gln-Ala-Lys-Ile-Trp-Phe-Gln-Asn-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH <sub>2</sub>
24	Biotinyl-βAla-Arg-Gln-Ile-Ala-Ile-Trp-Phe-Gln-Asn-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH <sub>2</sub>
25	Biotinyl-βAla-Arg-Gln-Ile-Lys-Ala-Trp-Phe-Gln-Asn-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH <sub>2</sub>
26	Biotinyl-βAla-Arg-Gln-Ile-Lys-Ile-Ala-Phe-Gln-Asn-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH <sub>2</sub>
27	Biotinyl-βAla-Arg-Gln-Ile-Lys-Ile-Trp-Ala-Gln-Asn-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH <sub>2</sub>
28	Biotinyl-βAla-Arg-Gln-Ile-Lys-Ile-Trp-Phe-Ala-Asn-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH <sub>2</sub>
29	Biotinyl-βAla-Arg-Gln-Ile-Lys-Ile-Trp-Phe-Gln-Ala-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH <sub>2</sub>
30	Biotinyl-βAla-Arg-Gln-Ile-Lys-Ile-Trp-Phe-Gln-Asn-Ala-Arg-Met-Lys-Trp-Lys-Lys-NH <sub>2</sub>
31	Biotinyl-βAla-Arg-Gln-Ile-Lys-Ile-Trp-Phe-Gln-Asn-Arg-Ala-Met-Lys-Trp-Lys-Lys-NH <sub>2</sub>
32	Biotinyl-βAla-Arg-Gln-Ile-Lys-Ile-Trp-Phe-Gln-Asn-Arg-Arg-Ala-Lys-Trp-Lys-Lys-NH <sub>2</sub>
33	Biotinyl-βAla-Arg-Gln-Ile-Lys-Ile-Trp-Phe-Gln-Asn-Arg-Arg-Met-Ala-Trp-Lys-Lys-NH <sub>2</sub>
34	Biotinyl-βAla-Arg-Gln-Ile-Lys-Ile-Trp-Phe-Gln-Asn-Arg-Arg-Met-Lys-Ala-Lys-Lys-NH <sub>2</sub>
35	Biotinyl-βAla-Arg-Gln-Ile-Lys-Ile-Trp-Phe-Gln-Asn-Arg-Arg-Met-Lys-Trp-Ala-Lys-NH <sub>2</sub>
36	Biotinyl-βAla-Arg-Gln-Ile-Lys-Ile-Trp-Phe-Gln-Asn-Arg-Arg-Met-Lys-Trp-Lys-Ala-NH <sub>2</sub>

TABLE 4

*Chromatographic and mass spectrometric analysis of peptides 1 and 21- 36*

N°	Formula	M <sub>r</sub>	MS <sup>a</sup>	Yield			Anal. RP-HPLC	
			[M+H] <sup>+</sup>	mg <sup>b</sup>	μmo l	% <sup>c</sup>	t <sub>R</sub> (min) <sup>d</sup>	Purity (%) <sup>e</sup>
1	C <sub>117</sub> H <sub>188</sub> N <sub>38</sub> O <sub>22</sub> S <sub>2</sub>	2543.12	2544.1	6.7	2.6	50	19.0	78
21	C <sub>114</sub> H <sub>181</sub> N <sub>35</sub> O <sub>11</sub> S <sub>2</sub>	2458.01	2459.0	5.7	2.3	44	16.8	66
22	C <sub>115</sub> H <sub>185</sub> N <sub>37</sub> O <sub>21</sub> S <sub>2</sub>	2486.00	2487.1	6.2	2.5	47	16.9	79
23	C <sub>114</sub> H <sub>182</sub> N <sub>38</sub> O <sub>22</sub> S <sub>2</sub>	2501.04	2502.0	5.8	2.3	44	12.9	80
24	C <sub>114</sub> H <sub>181</sub> N <sub>37</sub> O <sub>22</sub> S <sub>2</sub>	2486.00	2487.0	6.4	2.6	48	17.6	76
25	C <sub>114</sub> H <sub>182</sub> N <sub>38</sub> O <sub>22</sub> S <sub>2</sub>	2501.04	2502.0	6.8	2.7	51	15.7	80
26	C <sub>109</sub> H <sub>183</sub> N <sub>37</sub> O <sub>22</sub> S <sub>2</sub>	2427.99	2429.0	6.7	2.7	52	12.5	80
27	C <sub>111</sub> H <sub>184</sub> N <sub>38</sub> O <sub>22</sub> S <sub>2</sub>	2467.02	2468.0	6.1	2.5	47	12.8	78

WO 00/29427

PCT/GB99/03750

28

28	C <sub>115</sub> H <sub>185</sub> N <sub>37</sub> O <sub>21</sub> S <sub>2</sub>	2486.07	2487.1	6.5	2.6	49	15.9	79
29	C <sub>116</sub> H <sub>187</sub> N <sub>37</sub> O <sub>21</sub> S <sub>2</sub>	2500.09	2501.1	5.7	2.3	43	16.2	75
30	C <sub>114</sub> H <sub>181</sub> N <sub>35</sub> O <sub>22</sub> S <sub>2</sub>	2458.01	2459.0	5.6	2.3	43	18.7	77
31	C <sub>114</sub> H <sub>181</sub> N <sub>35</sub> O <sub>22</sub> S <sub>2</sub>	2458.01	2459.0	7.5	3.1	58	16.8	77
32	C <sub>115</sub> H <sub>184</sub> N <sub>38</sub> O <sub>22</sub> S <sub>2</sub>	2483.00	2484.0	6.4	2.6	49	15.4	96
33	C <sub>114</sub> H <sub>181</sub> N <sub>37</sub> O <sub>22</sub> S <sub>2</sub>	2486.03	2487.0	6.8	2.7	52	16.6	79
34	C <sub>109</sub> H <sub>183</sub> N <sub>37</sub> O <sub>22</sub> S <sub>2</sub>	2427.99	2429.0	8.5	3.5	66	14.3	83
35	C <sub>114</sub> H <sub>181</sub> N <sub>37</sub> O <sub>22</sub> S <sub>2</sub>	2486.03	2487.0	9.0	3.6	68	16.5	78
36	C <sub>114</sub> H <sub>181</sub> N <sub>37</sub> O <sub>22</sub> S <sub>2</sub>	2486.03	2487.0	10.1	4.0	76	16.4	73

<sup>a</sup>By DE MALDI-TOF MS.<sup>b</sup>After solid-phase extraction and vacuum centrifugation.<sup>c</sup>Relative to 5.3  $\mu$ mol loading of synthesis 'crowns'.5 <sup>d</sup>Gradient 15 - 35 % acetonitrile (peptides 21 - 36) in 0.1 % aq TFA over 20 min.<sup>e</sup>From chromatogram integration ( $\lambda = 214$  nm).

3.2 Peptide Internalisation assays were performed in accordance with section 1.3.

10 **3.3: Results****3.3.1: Peptide synthesis**

Peptides 21 – 36 were prepared simultaneously using the so-called Multipin™ method (Valerio, R.M. et al., (1993) International Journal of Peptide and Protein Research 42, 1-9). On average 2 coupling/deprotection cycles were performed per day and the entire synthesis, including biotinylation, cleavage / deprotection, purification by solid phase extraction, and analysis, were completed within a fortnight. The isolated and purified yields of the peptides ranged from 43 – 76 % and purities were 66 – 96 % (Table 2). The main impurities observed (MS, data not shown) were Met(O)-containing peptides. Methionine sulfoxide formation appears to be a general problem attendant in the Multipin method, presumably due to oxidation during the extended air drying cycles after the acylation and deprotection steps. In principle it is possible to back-reduce Met(O) in peptides; e.g., on an analytical scale we were able to convert the Met(O)-containing impurity to 1 cleanly using NH<sub>4</sub>I / Me<sub>2</sub>S in TFA (Nicolás, E et al., (1995) Tetrahedron 51, 57013) (results not shown).

WO 00/29427

PCT/GB99/03750

29

### 3.3.2: Effect of residue substitutions

The results using a set of peptides in which each residue in turn had been substituted with Ala (peptides 21 – 36) are shown in *Figure 5*. The results clearly show that there are no very stringent requirements for any particular hydrophobic residue. It was shown elsewhere that e.g. both Ile residues can be substituted with Val, apparently without loss of activity (Brugidou, J et al., (1995) Biochemical and Biophysical Research Communications 214, 685-693). Furthermore, Met<sup>12</sup> is freely exchangeable with either Leu or Nle (results not shown). What these results clearly demonstrate is that in contrast to the prevalent opinion in the prior art, there is no necessity for the Trp<sup>6</sup>.

#### Example 4; Further modified penetratin derivatives

Using the method described in sections 1.1 and 1.2 above, further modified peptides of SEQ ID No. 1 may be prepared replacing the alanine residue shown in bold with any other amino acid residue, including the following peptides which were all active in the cell internalisation assay described in section 1.3 above;

Modification to Penetratin*	Sequence
Met55 Nle	RQKIWFQNRROK <b>W</b> KK
Met55 Nle (retro)	KKWKORRNQFWIK <b>I</b> QR
Gln50Pro	RQKIWFPNRRMK <b>W</b> KK
45,50,55Pro	RQPIKIWFPNRRMP <b>W</b> KK
Trp48,56Phe	RQKIFFQNRMRK <b>F</b> KK

\* numbering refers to the corresponding residues as they appear in Antennapedia, where penetratin is denoted as AntP(43-58).

Examples 5-17; Using the methods described above translocation vectors comprising the carrier moiety linked to a cargo were prepared as described.

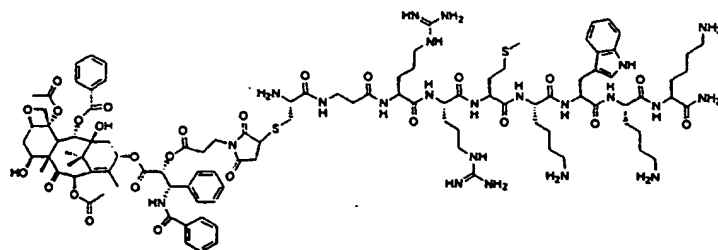
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**Example 5****5 H-Cys- $\beta$ Ala-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH<sub>2</sub>**

Starting from Rink Amide AM resin (0.69 mmol/g, Novabiochem), H-Cys(Trt)- $\beta$ Ala-Arg(Pmc)-Arg(Pmc)-Met-Lys(Boc)-Trp-Lys(Boc)-Lys(Boc)-resin was assembled. After deprotection (1.5 h), the crude peptide was obtained by precipitation from Et<sub>2</sub>O, centrifugation/decantation, and drying. Aliquots (total 246 mg) were purified by  
 10 preparative RP-HPLC (6.5 – 16.5 % MeCN gradient) to afford the pure title compound (106.4 mg). Anal. RP-HPLC:  $t_R$  = 15.8 min (6.5 – 16.5 % MeCN gradient, purity > 95 %,  $\lambda$  = 214 nm). DE MALDI-TOF MS:  $[M + H]^+ = 1205.4$  ( $C_{52}H_{92}N_{20}O_9S_2 = 1205.55$ ).

**15 2'-[Succinimidopropionoyl-(H-Cys- $\beta$ Ala-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH<sub>2</sub>)]paclitaxel**

To a solution of 2'-(maleimidopropionoyl)paclitaxel (17  $\mu$ mol, 17.4 mg) and H-Cys- $\beta$ Ala-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH<sub>2</sub> (15  $\mu$ mol, 18.1 mg) in DMF (1 mL) was  
 20 added Et<sub>3</sub>N (2.0  $\mu$ L). The mixture was stirred for 1h, filtered and purified by preparative RP-HPLC (10 – 70 % MeCN gradient). The pure title compound (9.4 mg) was obtained as a colourless solid. Anal. RP-HPLC:  $t_R$  = 17.2 min (0 – 60 % MeCN gradient, purity > 97 %). DE MALDI-TOF MS:  $[M + H]^+ = 2211.7$  ( $C_{106}H_{148}N_{22}O_{26}S_2 = 2210.57$ ).

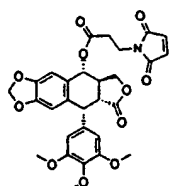
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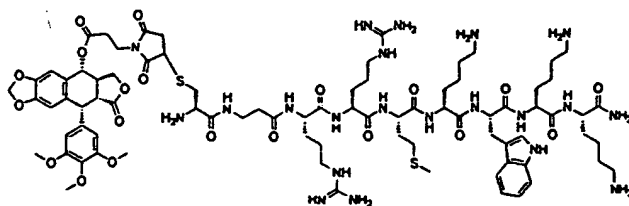
WO 00/29427

PCT/GB99/03750

31

**Example 6****4-(Maleimidopropionyl)podophyllotoxin**

- 5 A solution of podophyllotoxin (60  $\mu$ mol, 25.6 mg), 3-maleimidopropionic acid (0.31 mmol, 52.4 mg), DIC (0.17 mmol, 21.5 mg) and DMAP (80  $\mu$ mol, 10 mg) in  $\text{CH}_2\text{Cl}_2$  (2 mL) was stirred for 1 h. The solvent was evaporated *in vacuo* and the residue was redissolved in DMF/MeOH (1 mL) and purified by preparative RP-HPLC (20 – 70 % MeCN gradient) to afford the pure title compound as a colourless solid (7.3 mg). Anal.
- 10 RP-HPLC:  $t_R$  = 20.1 min (0 – 60 % MeCN gradient, purity > 95 %).  $^1\text{H-NMR}$  (300 MHz,  $\text{CDCl}_3$ )  $\delta$ : 2.66-2.71 (t,  $J$  = 6.3 Hz, 2H,  $\text{CH}_2$ ), 2.82-2.84 (m, 2H, H2 and H3), 3.69 (s, 6H,  $\text{OCH}_3 \times 2$ ), 3.75 (s, 3H,  $\text{OCH}_3$ ), 3.83 (t,  $J$  = 6.3 Hz, 2H,  $\text{CH}_2$ ), 4.12 (t,  $J$  = 9.92 Hz, 1H, H11), 4.31 (m, 1H, H11), 4.53 (d,  $J$  = 11.4 Hz, 1H, H1), 5.80 (d,  $J$  = 8.7 Hz, 1H, H4), 5.92 (dd,  $J$  = 5.49, 1.17 Hz, 2H,  $\text{OCH}_2\text{O}$ ), 6.32 (s, 2H, H2'6'), 6.47 (s,
- 15 1H, H8), 6.66 (s, 2H,  $\text{CH}=\text{CH}$ ), 6.74 (s, 1H, H5).

**4-[Succinimidopropionyl-(H-Cys- $\beta$ -Ala-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH<sub>2</sub>)]podophyllotoxin**

- 20 To a solution of 4-(maleimidopropionyl)podophyllotoxin (17.7  $\mu$ mol, 10 mg) and H-Cys- $\beta$ -Ala-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH<sub>2</sub> (25  $\mu$ mol, 30.4 mg) in DMF (1.5 mL) was added  $\text{Et}_3\text{N}$  (3.5  $\mu\text{L}$ ). The mixture was stirred for 40 min, filtered and purified by preparative RP-HPLC (0 – 60 % MeCN gradient). The pure title compound was obtained as a colourless solid (17.8 mg, 57 %). Anal. RP-HPLC:  $t_R$  = 14.8 min (0 – 60

WO 00/29427

PCT/GB99/03750

32

% MeCN gradient, purity > 98 %). DE MALDI-TOF MS:  $[M + H]^+ = 1772.3$   
 $(C_{81}H_{119}N_{21}O_{20}S_2 = 1771.07)$ .

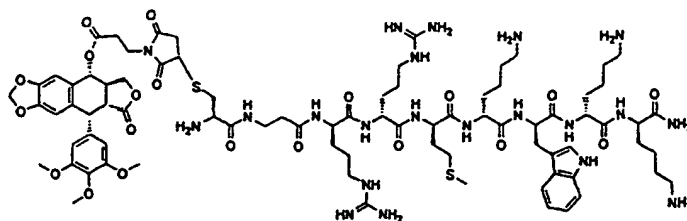
### 5 Example 7

#### H-Cys- $\beta$ -Ala-D-Arg-D-Arg-D-Met-D-Lys-D-Trp-D-Lys-D-Lys-NH<sub>2</sub>

Starting from Rink Amide AM resin (0.69 mmol/g, Novabiochem), H-Cys(Trt)- $\beta$ -Ala-D-Arg(Pmc)-D-Arg(Pmc)-D-Met-D-Lys(Boc)-D-Trp-D-Lys(Boc)-D-Lys(Boc)-resin

- 10 was assembled. After deprotection (1.5 h), the crude peptide was obtained by precipitation from Et<sub>2</sub>O, centrifugation/decantation, and drying. Aliquots (total 237 mg) were purified by preparative RP-HPLC (8 – 18 % MeCN gradient) to afford the pure title compound (66 mg). Anal. RP-HPLC:  $t_R = 12.9$  min (9 – 19 % MeCN gradient, purity > 99 %,  $\lambda = 214$  nm). DE MALDI-TOF MS:  $[M + H]^+ = 1207.2$   
 15  $(C_{52}H_{92}N_{20}O_9S_2 = 1205.55)$ .

#### 4-[Succinimidopropionyl-(H-Cys- $\beta$ -Ala-D-Arg-D-Arg-D-Met-D-Lys-D-Trp-D-Lys-D-Lys-NH<sub>2</sub>)]podophyllotoxin

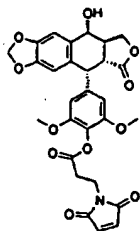


- 20 To a solution of 4-(maleimidopropionyl)podophyllotoxin (18.9  $\mu$ mol, 10.7 mg) and H-Cys- $\beta$ -Ala-D-Arg-D-Arg-D-Met-D-Lys-D-Trp-D-Lys-D-Lys-NH<sub>2</sub> (28  $\mu$ mol, 33.8 mg) in DMF (1.5 mL) was added Et<sub>3</sub>N (1.5  $\mu$ L). The mixture was stirred for 40 min, filtered and purified by preparative RP-HPLC (0 – 60 % MeCN gradient). The pure title compound was obtained as a colourless solid (6.9 mg, 21 %). Anal. RP-HPLC:  $t_R$   
 25 = 14.8 min (0 – 60 % MeCN gradient, purity > 98%). DE MALDI-TOF MS:  $[M + H]^+ = 1771.5$   $(C_{81}H_{119}N_{21}O_{20}S_2 = 1771.07)$ .

WO 00/29427

PCT/GB99/03750

33

**Example 8****4'-(Maleimidopropionoyl)epipodophyllotoxin**

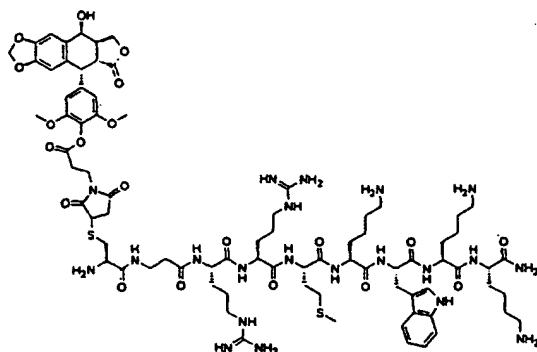
5 A solution of 4'-demethylepipodophyllotoxin (12 mmol, 5 mg), 3-maleimidopropionic acid (50  $\mu$ mol, 12.2 mg) and DIC (28  $\mu$ mol, 3.47 mg) in pyridine (1 mL) was stirred for 30 min. MeOH (0.5 mL) was added and the mixture was purified by preparative RP-HPLC (0 – 60 % MeCN gradient) to afford the pure title compound as a colourless solid (4.2 mg, 62 %). Anal. RP-HPLC:  $t_R$  = 17.6 min (0 – 60 % MeCN gradient, purity > 95 %).  $^1\text{H-NMR}$  (300 MHz,  $\text{CDCl}_3$ )  $\delta$ : 2.84 (m, 1H, H3), 2.99 (t,  $J$  = 7.44 Hz, 2H,  $\text{CH}_2\text{-Mim}$ ), 3.32 (dd,  $J$  = 14.04, 5.07 Hz, 1H, H2), 3.69 (s, 6H,  $\text{OCH}_3 \times 2$ ), 3.95 (t,  $J$  = 7.44 Hz, 2H,  $\text{CH}_2\text{-Mim}$ ), 4.39 (dd,  $J$  = 8.13, 4.28 Hz, 2H, H11), 4.66 (d,  $J$  = 5.00 Hz, 1H, H1), 4.89 (d,  $J$  = 3.32 Hz, 1H, H4), 6.01 (d,  $J$  = 6.42 Hz, 2H,  $\text{OCH}_2\text{O}$ ), 6.32 (s, 2H, H2'6'), 6.57 (s, 1H, H8), 6.74 (s, 2H,  $\text{CH=CH}$ ), 6.90 (s, 1H, H5).  $^{13}\text{C-NMR}$  (75 MHz,  $\text{CDCl}_3$ )  $\delta$ : 28.64, 31.02, 32.55, 37.33, 39.53, 42.99, 55.15, 65.78, 66.56, 100.65, 106.54, 107.97, 109.65, 130.68, 130.92, 133.21, 136.96, 146.62, 147.61, 150.39, 167.36, 169.30, 173.89.

20 **4'-[Succinimidopropionoyl-(H-Cys- $\beta$ -Ala-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH<sub>2</sub>)]epipodophyllotoxin**

WO 00/29427

PCT/GB99/03750

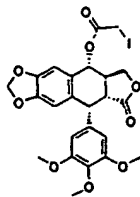
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To a solution of 4'-(maleimidopropionyl)epipodophyllotoxin (14  $\mu$ mol, 7.9 mg) and H-Cys- $\beta$ Ala-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH<sub>2</sub> (26  $\mu$ mol, 31.5 mg) in DMF (1 mL) was added Et<sub>3</sub>N (1.9  $\mu$ L). After stirring for 40 min, the mixture was purified by  
 5 preparative RP-HPLC (0 – 60 % gradient) to afford the pure title compound as a colourless solid (15.8 mg, 63 %). Anal. RP-HPLC:  $t_R$  = 13.3 min (0 – 60 % MeCN gradient, purity > 98 %). DE MALDI-TOF MS:  $[M + H]^+ = 1757.2$  (C<sub>80</sub>H<sub>117</sub>N<sub>21</sub>O<sub>20</sub>S<sub>2</sub> = 1757.05).

#### 10 Example 9

##### 4-(Iodoacetyl)podophyllotoxin



A mixture of podophyllotoxin (0.49 mmol, 204 mg), iodoacetic acid (1.03 mmol, 192  
 15 mg), DIC (0.552 mmol, 69.7 mg) and DMAP (0.164 mmol, 20 mg) in dry CH<sub>2</sub>Cl<sub>2</sub> (5 mL) was cooled to 0 °C. Pyridine (0.2 mL) was added and the reaction mixture was allowed to stir for 1 h at 0 °C. The mixture was evaporated to dryness. The resulting light-yellow residue was redissolved in MeCN and was purified by preparative RP-HPLC (20 – 70 % MeCN gradient) to afford the pure title compound as a colourless  
 20 solid (89.5 mg). Anal. RP-HPLC:  $t_R$  = 22.3 min (0 – 60 % MeCN gradient, purity > 95 %). <sup>1</sup>H-NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$ : 2.85 (m, 2H, H<sub>2</sub>,3), 3.70 (s, 6H, OCH<sub>3</sub>x2), 3.72 (s,

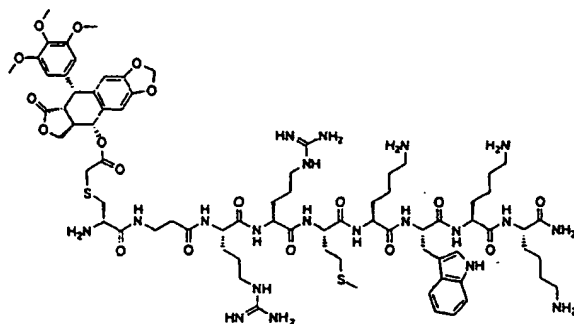
WO 00/29427

PCT/GB99/03750

35

2H, CH<sub>2</sub>D), 3.74 (s, 3H, OCH<sub>3</sub>), 4.13 (m, 1H, H11), 4.34 (m, 1H, H11), 4.53 (d, 1H,  $J = 3.60$  Hz, H1), 5.83 (d, 1H,  $J = 8.43$  Hz, H4), 5.93 (dd, 2H,  $J = 4.35, 1.17$  Hz, OCH<sub>2</sub>O)), 6.31 (s, 2H, H2'6'), 6.48 (s, 1H, H8), 6.77 (s, 1H, H5).

5 **4-[Acetyl-(H-Cys-βAla-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH<sub>2</sub>)]podophyllotoxin**

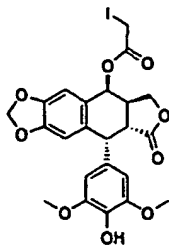


A solution of 4-(iodoacetyl)podophyllotoxin (17 μmol, 10 mg) and H-Cys-βAla-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH<sub>2</sub> (23 μmol, 28.6 mg) in DMF (1 mL) was added Et<sub>3</sub>N (2.4 μL, 17 μmol). After stirring for 1 h MeCN (0.5 mL) was added and the mixture was purified by preparative RP-HPLC (0 – 60 % MeCN gradient) to afford the pure title compound as a colourless solid (29.4 mg, 100 %). Anal. RP-HPLC:  $t_R = 14.1$  min (0 – 60 % MeCN gradient, purity > 98 %). DE MALDI-TOF MS:  $[M + H]^+ = 1661.0$  (C<sub>76</sub>H<sub>114</sub>N<sub>20</sub>O<sub>18</sub>S<sub>2</sub> = 1659.97).

15

**Example 10**

**4'-Demethyl-4-(iodoacetyl)epipodophyllotoxin**



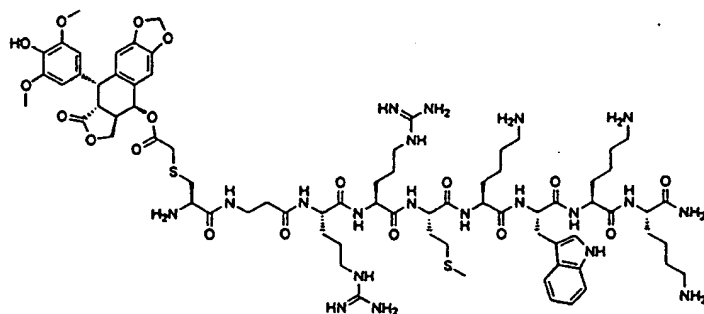
WO 00/29427

PCT/GB99/03750

36

To a solution of 4'-demethylepipodophyllotoxin (0.26 mmol, 104 mg), iodoacetic acid (0.53 mmol, 98.8 mg), and DIC (0.32 mmol, 40.1 mg) in CH<sub>2</sub>Cl<sub>2</sub> (2 mL) at 0 °C was added pyridine (50 µL) and DMAP (0.1 mmol, 12.8 mg). After 1 h stirring the solvents were evaporated. The residue was redissolved in DMF (1 mL) and purified by preparative RP-HPLC (20 – 60 % MeCN gradient) to afford the pure title compound as a colourless solid (35.7 mg, 24 %). Anal. RP-HPLC: *t<sub>R</sub>* = 20.3 min (0 – 60 % MeCN gradient, purity > 96 %). <sup>1</sup>H-NMR (300 MHz, CDCl<sub>3</sub>) δ: 3.02 (m, 1H, H3), 3.20 (m, 1H, H2), 3.71 (s, 6H, OCH<sub>3</sub>x2), 3.63 (s, 2H, CH<sub>2</sub>I), 3.74 (s, 3H, OCH<sub>3</sub>), 4.05 (m, 1H, H11), 4.27 (m, 1H, H11), 4.60 (d, 1H, *J* = 4.94 Hz, H1), 6.06 (d, 1H, *J* = 3.41 Hz, H4), 5.92 (m, 2H, OCH<sub>2</sub>O), 6.21 (s, 2H, H2'6'), 6.49 (s, 1H, H8), 6.80 (s, 1H, H5).

**4'-Demethyl-4-[acetyl-(H-Cys-βAla-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH<sub>2</sub>)]epipodophyllotoxin**



To a solution of 4'-demethyl-4-(iodoacetyl)epipodophyllotoxin (17.6 µmol, 10 mg) and H-Cys-βAla-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH<sub>2</sub> (14.9 µmol, 18 mg) in DMF (1 mL) was added Et<sub>3</sub>N (2.1 µL, 15 µmol). After stirring for 1 h the reaction mixture was purified by preparative RP-HPLC (0 - 60% MeCN gradient) to afford the pure title compound as a colourless solid (11.2 mg, 46 %). Anal. RP-HPLC: *t<sub>R</sub>* = 12.8 min (0 – 60 % MeCN gradient, purity > 98 %). DE MALDI-TOF MS: [M + H]<sup>+</sup> = 1647.2 (C<sub>75</sub>H<sub>112</sub>N<sub>20</sub>O<sub>18</sub>S<sub>2</sub> = 1645.95).

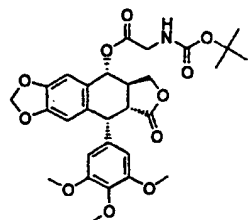
**Example 11**

**4-(Boc-Gly)podophyllotoxin**

WO 00/29427

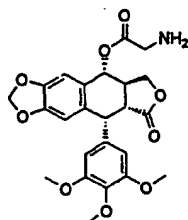
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37



A mixture of podophyllotoxin (400 mg, 0.97 mmol), Boc-Gly-OH (510 mg, 2.91 mmol) DIC (1.73 mmol, 273  $\mu$ L), DMAP (0.41 mmol, 50 mg) and pyridine (173  $\mu$ L) in  $\text{CH}_2\text{Cl}_2$  (5 mL) was stirred at for 1 h. The solvents were evaporated. The residue was redissolved in DMF (1.5 mL) and purified by RP-HPLC (20 – 70 % MeCN gradient) to afford the pure title compound as a colourless solid (502.6 mg, 91 %). Anal. RP-HPLC:  $t_R$  = 22.1 min (0 – 60 % MeCN gradient, purity > 97 %).

#### 4-(H-Gly)podophyllotoxin

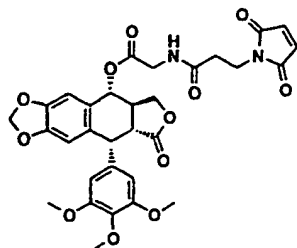


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To a solution of 4-(Boc-Gly)podophyllotoxin (0.24 mmol, 137 mg) in  $\text{CH}_2\text{Cl}_2$  (8 mL) was added TFA (0.5 mL). After stirring for 1 h the solvents were evaporated. The resulting light-yellow solid residue was purified by preparative RP-HPLC (10 – 70 % MeCN gradient) to afford the pure title compound as a colourless solid (41.7 mg, 37 %). Anal. RP-HPLC:  $t_R$  = 15.2 min (0 – 60 % MeCN gradient, purity > 97 %).

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#### 4-(Maleimidopropionoyl-Gly)podophyllotoxin



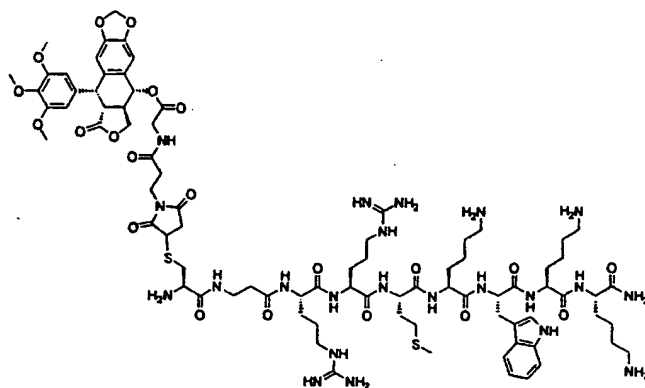
WO 00/29427

PCT/GB99/03750

38

To a solution of 3-maleimidopropionic acid (70  $\mu\text{mol}$ , 11.8 mg) and DIC (38  $\mu\text{mol}$ , 4.83 mg) in DMF (1 mL) was added 4-(H-Gly)podophyllotoxin (17  $\mu\text{mol}$ , 8 mg), DMAP (10  $\mu\text{mol}$ , 1.2 mg) and pyridine (20  $\mu\text{L}$ ). After stirring for 1 h the mixture was purified by preparative RP-HPLC (0 – 60 % MeCN gradient) to afford the pure title compound as a colourless solid (1.1 mg). Anal. RP-HPLC:  $t_R$  = 18.2 min (0 – 60 % MeCN gradient, purity >97%).

**4-[(Succinimidopropionoyl-Gly)-(H-Cys- $\beta$ Ala-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH<sub>2</sub>)]podophyllotoxin**



10

To a solution of 4-(maleimidopropionoyl-Gly)podophyllotoxin (1.8  $\mu\text{mol}$ , 1.1 mg) and H-Cys- $\beta$ Ala-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH<sub>2</sub> (4  $\mu\text{mol}$ , 5 mg) in DMF (1 mL) was added Et<sub>3</sub>N (0.5  $\mu\text{L}$ , 4  $\mu\text{mol}$ ). The mixture was stirred for 1 h. It was diluted with MeCN (0.5 mL) and purified by preparative RP-HPLC (0 – 60 % MeCN gradient) to afford the title compound as a colourless solid (1.1 mg, 33 %). Anal. RP-HPLC:  $t_R$  = 14.7 min (0 – 60 % MeCN gradient, purity > 97 %). DE MALDI-TOF MS:  $[M + H]^+$  = 1829.8 ( $C_{83}H_{122}N_{22}O_{21}S_2$  = 1828.12).

15

**Example 12**

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**H-Cys-Arg-Arg-Met-Lys-Trp-Lys-Lys-Cys-NH<sub>2</sub>**

Starting from Rink Amide AM resin (0.69 mmol/g, Novabiochem), H-Cys(Trt)-Arg(Pmc)-Arg(Pmc)-Met-Lys(Boc)-Trp-Lys(Boc)-Lys(Boc)-Cys(Trt)-resin was



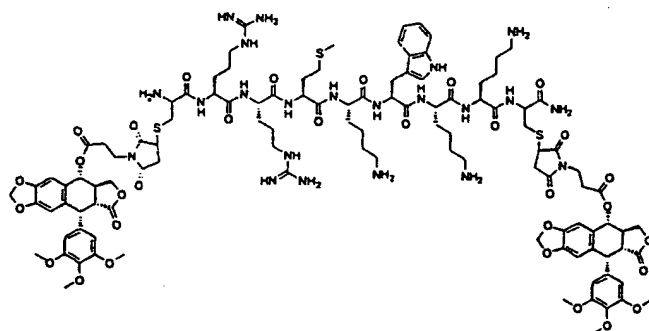
WO 00/29427

PCT/GB99/03750

39

assembled. After deprotection (1.5 h), the crude peptide was obtained by precipitation from Et<sub>2</sub>O, centrifugation/decantation, and drying. Aliquots (total 258 mg) were purified by preparative RP-HPLC (9 – 19 % MeCN gradient) to afford the pure title compound (132.4 mg). Anal. RP-HPLC:  $t_R$  = 20.3 min (8 – 18 % MeCN gradient, purity > 99 %,  $\lambda$  = 214 nm). DE MALDI-TOF MS:  $[M + H]^+$  = 1238.6 (C<sub>52</sub>H<sub>92</sub>N<sub>20</sub>O<sub>9</sub>S<sub>3</sub> = 1237.63).

**Bis-[4-(succinimidopropionoyl)podophyllotoxin]-(H-Cys-Arg-Arg-Met-Lys-Trp-Lys-Lys-Cys-NH<sub>2</sub>)**



10

To a solution of 4-(maleimidopropionoyl)podophyllotoxin (19  $\mu$ mol, 11 mg) and H-Cys-Arg-Arg-Met-Lys-Trp-Lys-Lys-Cys-NH<sub>2</sub> (12  $\mu$ mol, 15 mg), in DMF (1 mL) was added Et<sub>3</sub>N (2.8  $\mu$ L). After stirring for 1 h the mixture was purified by preparative RP-HPLC (10 – 70 % MeCN gradient) to afford the pure title compound as a colourless solid (9.0 mg, 32 %). Anal. RP-HPLC:  $t_R$  = 17.4 min (0 – 60 % MeCN gradient, purity > 98 %). DE MALDI-TOF MS:  $[M + H]^+$  = 2369.7 (C<sub>110</sub>H<sub>146</sub>N<sub>22</sub>O<sub>31</sub>S<sub>3</sub> = 2368.66).

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**Example 13**

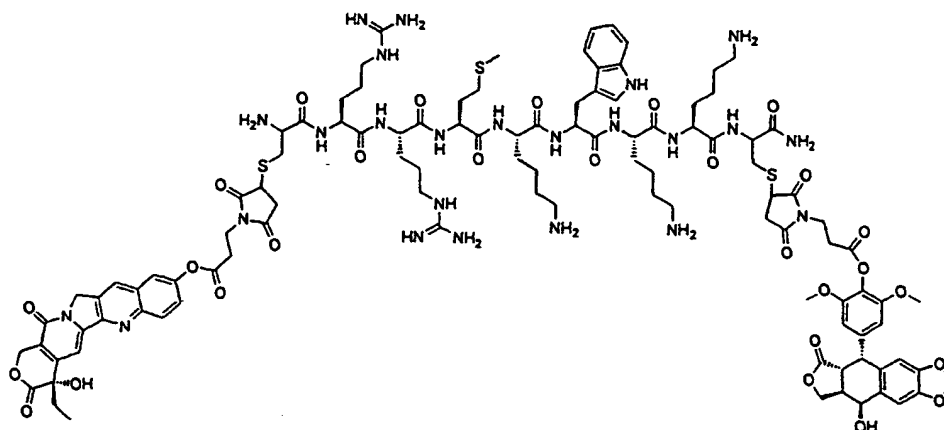
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**4'-(Succinimidopropionoyl)epipodophyllotoxin-(H-Cys-Arg-Arg-Met-Lys-Trp-Lys-Lys-Cys-NH<sub>2</sub>)-10-O-(succinimidopropionoyl)camptothecin**

WO 00/29427

PCT/GB99/03750

40

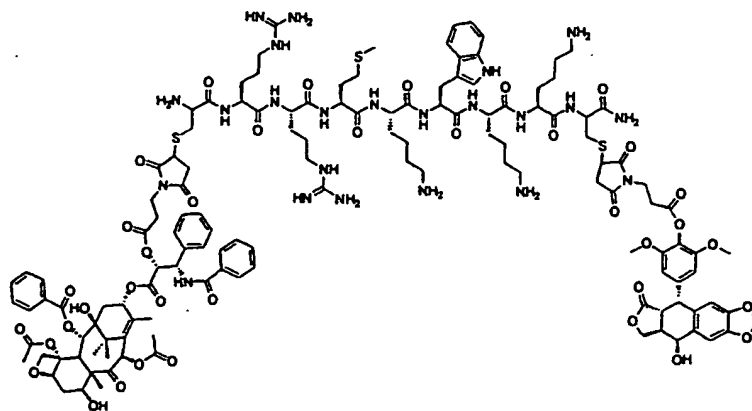


To a solution of 10-*O*-(maleimidopropionoyl)camptothecin (0.005 mmol, 2.6 mg), 4'-(maleimidopropionoyl) epipodophyllotoxin (5.6  $\mu$ mol, 3.1 mg), and H-Cys-Arg-Arg-Met-Lys-Trp-Lys-Lys-Cys-NH<sub>2</sub> (11  $\mu$ mol, 13 mg), in DMF (1.5 mL) was added Et<sub>3</sub>N (1.5  $\mu$ L). After stirring for 1.5 h the mixture was purified by preparative RP-HPLC (10 – 70 % MeCN gradient ) to afford the pure title compound as a colourless solid (1.9 mg). Anal. RP-HPLC:  $t_R$  = 14.8 min (0 – 60 % MeCN gradient, purity > 96 %). DE MALDI-TOF MS:  $[M + H]^+$  = 2304.6 ( $C_{107}H_{138}N_{24}O_{28}S_3$  = 2304.58).

10

#### Example 14

4'-(Succinimidopropionoyl)epipodophyllotoxin-(H-Cys-Arg-Arg-Met-Lys-Trp-Lys-Lys-Cys-NH<sub>2</sub>)-2'-(succinimidopropionoyl)paclitaxel



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WO 00/29427

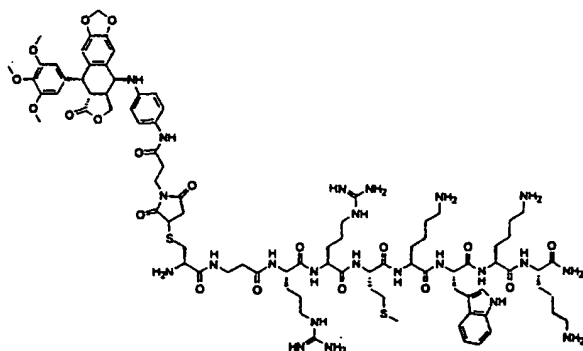
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41

To a solution of 4'-[succinimidopropionyl-(H-Cys-Arg-Arg-Met-Lys-Trp-Lys-Lys-Cys-NH<sub>2</sub>)]epipodo-phyllotoxin (2  $\mu$ mol, 3.5 mg), 2'-(maleimidopropionyl)paclitaxel (2  $\mu$ mol, 2 mg) in DMF (1 mL) was added Et<sub>3</sub>N (0.3  $\mu$ L). After stirring for 1.5 h the reaction mixture was purified by preparative RP-HPLC (10 – 70 % MeCN gradient) to afford the pure title compound as a colourless solid (1.5 mg). Anal. RP-HPLC:  $t_R$  = 17.8 min (0 – 60 % MeCN gradient, purity > 98 %). DE MALDI-TOF MS:  $[M+H]^+$  = 2794.5 ( $C_{134}H_{173}N_{23}O_{37}S_3$  = 2794.14).

#### 10 Example 15

4'-Methoxy-4-[4''-aminoanilino-(succinimidopropionyl)]-(H-Cys- $\beta$ Ala-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH<sub>2</sub>)]epipodophyllotoxin

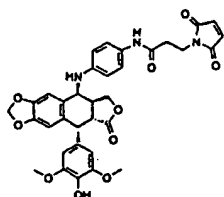


15 To a solution of 4'-methoxy-[4''-aminoanilino-(maleimidopropionyl)]epipodophyllotoxin (7  $\mu$ mol, 4.6 mg) and H-Cys- $\beta$ Ala-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH<sub>2</sub> (14  $\mu$ mol, 16.3 mg) in DMF (1 mL) was added Et<sub>3</sub>N (1  $\mu$ L). After stirring for 1 h, the mixture was purified by preparative RP-HPLC (0 – 60 % MeCN gradient) to afford the pure title compound as a colourless solid (6.4 mg, 49 %). Anal. RP-HPLC:  $t_R$  = 15.2 min (0 – 60 % MeCN gradient, purity > 98 %). DE MALDI-TOF MS:  $[M + H]^+$  = 1861.6 ( $C_{87}H_{125}N_{23}O_{19}S_2$  = 1861.20).

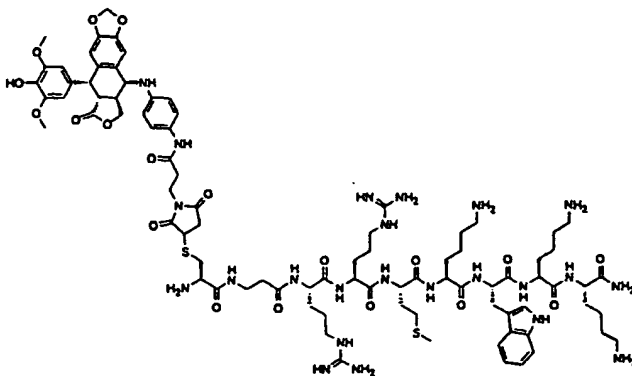
WO 00/29427

PCT/GB99/03750

42

**Example 16****4'-Demethyl-4-[4''-aminoanilino-(maleimidopropionoyl)]epipodophyllotoxin**

- 5 To a solution of 4'-demethyl-4-(4''-aminoanilino)epipodophyllotoxin (24  $\mu$ mol, 12 mg), 3-maleimidopropionic acid (49  $\mu$ mol, 8.3 mg), and DIC (27  $\mu$ mol, 3.4 mg) in 1:1 DMF/ $\text{CH}_2\text{Cl}_2$  (2 mL) was added pyridine (10  $\mu$ L). After stirring for 1 h, the reaction mixture was evaporated. The resulting light-yellow solid was purified by preparative RP-HPLC (10 – 70 % MeCN gradient) to afford the pure title compound as a
- 10 colourless solid (5.3 mg, 34 %). Anal. RP-HPLC:  $t_R$  = 19.5 min (0 – 60 % MeCN gradient, purity > 96 %).  $^1\text{H-NMR}$  (300 MHz,  $\text{CDCl}_3$ )  $\delta$ : 2.65 (t, 2H,  $J$  = 7.3 Hz,  $\text{CH}_2$ ), 2.98 (m, 1H, H3), 3.17 (m, 1H, H2), 3.79 (s, 6H,  $\text{OCH}_3$ ), 3.93 (t, 2H,  $J$  = 7.0 Hz,  $\text{CH}_2$ ), 3.99 (m, 1H, H5, H11), 4.38 (m, 1H, H11), 4.58 (d, 1H,  $J$  = 4.95 Hz, H1), 4.64 (d, 1H,  $J$  = 3.95 Hz, H4) 5.96 (m, 2H,  $\text{OCH}_2\text{O}$ ), 6.33 (s, 2H, H2'6'), 6.49-6.53 (m, 3H, H8,
- 15 Ar), 6.74 (s, 2H,  $\text{CH}=\text{CH}$ ), 6.75 (s, 1H, H5), 7.33 (m, 2H, Ar).

**4'-Demethyl-4-[4''-aminoanilino-(succinimidopropionoyl)-(H-Cys- $\beta$ Ala-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH $_2$ )]epipodophyllotoxin**

WO 00/29427

PCT/GB99/03750

43

To a solution of 4'-demethyl-[4''-aminoanilino-(maleimidopropionoyl)]-epipodophyllotoxin (8.3  $\mu$ mol, 5.3 mg) and H-Cys- $\beta$ Ala-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH<sub>2</sub> (13  $\mu$ mol, 15.6 mg) in DMF (1.5 mL) was added Et<sub>3</sub>N (2  $\mu$ L). After stirring for 1 h, the mixture was purified by preparative RP-HPLC (0 – 60 % MeCN gradient) to afford the pure title compound as a colourless solid (14.9 mg, 97 %). Anal. RP-HPLC:  $t_R$  = 13.7 min (0 – 60 % MeCN gradient, purity > 98 %). DE MALDI-TOF MS:  $[M + H]^+$  = 1847.1 ( $C_{86}H_{123}N_{23}O_{19}S_2$  = 1847.17).

### Example 17

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#### *Evaluation of etoposide and podophyllotoxin derivatives in topoisomerase II inhibition assay*

*Topoisomerase II assay* – Plasmid DNA (0.3  $\mu$ g) was incubated at 37 °C with 4 units of purified recombinant human topoisomerase II in cleavage buffer (30 mM Tris.HCl, pH 7.6, 60 mM NaCl, 3 mM ATP, 15 mM mercaptoethanol, 8 mM MgCl<sub>2</sub>) with or without the addition of test compound (at 1mM, 100  $\mu$ M, or 10  $\mu$ M final concentration). Reactions were stopped by the immediate addition of SDS (1 % w/v final). Samples were treated with proteinase K (30 min at 37 °C) and extracted twice with an equal volume of 42:1 CHCl<sub>3</sub>/*i*-amyl alcohol. After adding loading dye, samples were loaded to a 4 x TAE, 1 % agarose gel containing 0.5 mg/mL ethidium bromide and electrophoresed for 16 – 24 h. Topoisomerase II inhibition was judged by the production of linear plasmid DNA, representing trapped cleavage intermediate, and by the ratio of substrate (supercoiled DNA) to product (relaxed DNA). A relaxation assay was performed identically, except that the reaction buffer was optimised for the detection of catalysis rather than cleavage, *i.e.* only 2 units of enzyme were used per sample. The reaction buffer was 50 mM Tris.HCl, pH 8, 120 mM KCl, 0.5 mM ATP, 0.5 mM dithiothreitol, 10 mM MgCl<sub>2</sub>. Topoisomerase II inhibition was judged by the ratio of substrate (supercoiled DNA) to product (relaxed DNA).

30

WO 00/29427

PCT/GB99/03750

44

Table 8

Test Compound	Activity observed <sup>a</sup>
Etoposide	IC
Podophyllotoxin	-
4'-Demethylepipodophyllotoxin	IC
4'-Demethyl-4-(4''-aminoanilino)epipodophyllotoxin	I
H- $\beta$ Ala-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH <sub>2</sub>	-
4-[Succinimidopropionoyl-(H-Cys- $\beta$ Ala-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH <sub>2</sub> )]podophyllotoxin	-
4'-[Succinimidopropionoyl-(H-Cys- $\beta$ Ala-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH <sub>2</sub> )]epipodophyllotoxin	IC
4'-Demethyl-4-[acetyl-(H-Cys- $\beta$ Ala-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH <sub>2</sub> )]epipodophyllotoxin	IC
4'-Demethyl-4-[4''-aminoanilino-(succinimidopropionoyl)-(H-Cys- $\beta$ Ala-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH <sub>2</sub> )]epipodophyllotoxin	I

a) I denotes inhibition of relaxation of supercoiled plasmid by topoisomerase II. C denotes accumulation of topoisomerase II reaction intermediate.

5

### Example 18

#### *Comparison of full length and truncated penetratin as a vector for a drug moiety*

In order to compare the cytotoxic biological effect on cancer cells (cell lines in Table

- 9) of the drug moieties applied using full length and truncated penetratin carrier moieties. appropriate podophyllotoxin-conjugates (podophyllotoxin-(16mer vector), 4-[succinimidopropionoyl-(H-Cys-Arg-Gln-Ile-Lys-Ile-Trp-Phe-Gln-Asn-Arg-Arg-Met-Lys-Trp-Lys-Lys-Gly-Cys-Gly-NH<sub>2</sub>)] podophyllotoxin; podophyllotoxin-7mer vector, 4-[Succinimidopropionoyl-(H-Cys- $\beta$ Ala-Arg-Arg-Met-Lys-Trp-Lys-Lys-NH<sub>2</sub>)]-podophyllotoxin), were exposed to cells at appropriate concentrations.

WO 00/29427

PCT/GB99/03750

45

Serial dilutions of test compounds were applied to the cell lines. After incubation for 96 h, cytotoxicity was assessed using a standard sulforhodamine B (SRB) cell proliferation assay. IC<sub>50</sub> values are summarised in *Table 9*.

5 Table 9

	A2780	A2780 Cis <sup>R</sup>	CH1	CH1 Dox <sup>R</sup>	CH1 Taxol <sup>R</sup>	HCT116	HT29	KM12
podophyllotoxin. 16-mer <sup>1</sup>	0.55	0.48	0.46	0.49	0.21	0.52	0.54	0.48
podophyllotoxin. 7-mer <sup>2</sup>	0.115	0.125	0.12	0.115	0.115	0.14	0.17	0.39

1. maximum tolerated dose by iv administration ion mice is ca. 40mg/kg (x mice)
2. maximum tolerated dose by iv administration ion mice is ca. 75mg/kg (x mice)

- 10 As can be seen from the Table, the truncated penetratin-podophyllotoxin conjugate is more effective in terms of anti-proliferative activity on tumour cells awhile exhibiting lower generalised toxicity.

WO 00/29427

PCT/GB99/03750

46

## CLAIMS.

- 1 A membrane translocation peptide carrier moiety comprising of formula;  
5  
RQIKIWFQNRRMKWKK (SEQ ID No. 1)  
1 16
- wherein at least one amino acid residue is deleted from the amino terminus, or variants  
10 thereof.
2. A carrier moiety according to claim 1, wherein up to 9 amino acids are deleted.
3. A carrier moiety according to claim 2, wherein from 6 to 9 amino acids are  
15 deleted.
4. A carrier moiety according to claim 3, wherein 9 amino acids are deleted.
5. A carrier moiety according to claim 3, selected from RRMKWKK,  
20 NRRMKWKK, QNRRMKWKK and FQNRRMKWKK.
6. A variant of a carrier moiety defined in any preceding claim, wherein (a) one or  
more amino acid residues are replaced by a naturally or non-naturally occurring amino  
acid residue (b) one or more amino acid residues are reversed, (c) both (a) and (b) are  
25 present together, (d) a spacer group is present between any two amino acid residues or  
(e) one or more amino acid residues are in peptoid form (f) the (N-C-C) backbone of  
one or more amino acid residues of the peptide has been modified, or any of (a)-(f) in  
combination.



WO 00/29427

PCT/GB99/03750

47

7. A carrier moiety according to claim 6, selected from KRMKWKK, RKMKWKK, RREKWKK, RRQKWKK, RROKWKK, RRMKQKK, RRMKWFK, RORKWKK, RRMWKKK, RROWKKK, RRMKKWK and RROKKWK.

5 8. A carrier moiety according to claim 1, represented by any of compounds 2-20.

9. A membrane translocation peptide carrier moiety comprising of formula;

RQIKIWFQNRRMKWKK (SEQ ID No. 1)

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wherein at least one amino acid residue is replaced by an alternative natural or unnatural replacement  $\alpha$ -amino acid residue.

10. A carrier moiety according to claim 9, wherein the sixth amino acid from the  
15 amino terminus is not tryptophan.

11. A carrier moiety according to claim 9 or 10, wherein the replacement  $\alpha$ -amino acid residue is selected from the residues of alanine, arginine, asparagine, aspartic acid, cysteine, glutamic acid, glutamine, glycine, histidine, isoleucine, leucine, lysine, methionine, phenylalanine, proline, serine, threonine, tryptophan, tyrosine, and valine.  
20

12. A carrier moiety according to claim 11, represented by any of compounds 21-36.

13. A carrier moiety according to any preceding claim, wherein free carboxyl group of the carboxy terminal amino acid residue is in the form  $-C(O)-NRR'$ , wherein R and R' are each independently selected from hydrogen, C1-6 alkyl, C1-6 alkylene or C1-6 alkynyl, aryl, each optionally substituted by heteroatoms such as O, S or N.  
25

14. A carrier moiety according to claim 13, wherein free carboxyl group of the carboxy terminal amino acid residue is a carboxamide group.  
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WO 00/29427

PCT/GB99/03750

48

15. A carrier moiety according to claim 14, of the formula RRMKWKK-NH<sub>2</sub>.
16. A carrier moiety according to any preceding claim, wherein the peptide is  
5 comprised of amino acid residues in their L form.
17. A carrier moiety according to any preceding claim, wherein the peptide is comprised of amino acid residues in their D form.
- 10 18. A carrier moiety according to any of claims 16 or 17, wherein the peptide is in the *retro* form.
19. A membrane translocation vector comprising a membrane translocation peptide carrier moiety as defined in any of claims 1-18, linked to a cargo moiety.  
15
20. A translocation vector according to claim 19, wherein the cargo moiety is an oligonucleotide, nucleotide, protein, peptide, biologically active compound or diagnostic agent.
- 20 21. A translocation vector according to claim 20, wherein the cargo moiety is an oligonucleotide or nucleotide selected from genes, gene fragments, sequences of DNA, cDNA, RNA, nucleotides, nucleosides, heterocyclic bases, synthetic and non-synthetic, sense or anti-sense oligonucleotides.
- 25 22. A translocation vector according to claim 20, wherein the cargo moiety is a protein or peptide that interferes with the cell cycle.
23. A translocation vector according to claim 22, wherein the cargo moiety is selected from p53 peptide fragments, p21<sup>WAF</sup> peptide fragments, Fen1 peptide fragments  
30 and p16 peptide fragments.

WO 00/29427

PCT/GB99/03750

49

24. A translocation vector according to claim 20, wherein the cargo moiety is a drug.
25. A translocation vector according to claim 24, wherein the cargo moiety is  
5 derived from a cytotoxic drug.
26. A translocation vector according to claim 25, wherein the cargo moiety is selected from DNA damaging agents, anti-metabolites, anti-tumour antibiotics, natural products and their analogues, dihydrofolate reductase inhibitors, pyrimidine analogues,  
10 purine analogues, cyclin-dependent kinase inhibitors, thymidylate synthase inhibitors, DNA intercalators, DNA cleavers, topoisomerase inhibitors, anthracyclines, vinca drugs, mitomycins, bleomycins, cytotoxic nucleosides, pteridine drugs, diyenes, podophyllotoxins, platinum containing drugs, differentiation inducers and taxanes.
- 15 27. A translocation vector according to claim 26, wherein the cargo moiety is selected from methotrexate, methopterin, dichloromethotrexate, 5-fluorouracil, 6-mercaptopurine, tri-substituted purines such as olomoucine, roscovitine and bohemine, flavopiridol, staurosporin, cytosine arabinoside, melphalan, leurosine, actinomycin, daunorubicin, doxorubicin, mitomycin D, mitomycin A, carinomycin, aminopterin,  
20 tallysomyacin, podophyllotoxin, etoposide, cisplatinum, carboplatinum, vinblastine, vincristine, vindesin, paclitaxel, docetaxel, taxotere retinoic acid, butyric acid, acetyl spermidine, tamoxifen, irinotecan and camptothecin.
28. A translocation vector according to any of claims 19 to 27, wherein the cargo  
25 moiety is directly linked to the carrier moiety.
29. A translocation vector according to any of claims 19 to 27, wherein the cargo moiety is indirectly linked to the carrier moiety by means of a linker moiety.
- 30 30. A translocation vector according to any of claims 19 to 29, further comprising a targeting moiety.

WO 00/29427

PCT/GB99/03750

50

31. A translocation vector according to claim 30, wherein the targeting moiety is attached to the carrier moiety.
- 5 32. A translocation vector according to claim 30, wherein the targeting moiety is attached to the cargo moiety.
33. A translocation vector according to any of claims 19 to 32, wherein each carrier moiety bears more than one cargo moiety.
- 10 34. A translocation vector according to claim 33, wherein the cargo moieties are different.
35. A translocation vector according to claim 33 or 34, wherein the cargo moieties are attached to the carrier by a network of lysine residues.
- 15 36. A translocation vector according to any of claims 19 to 25, wherein the carrier moiety peptide is comprised of amino acid residues in their L form.
- 20 37. A translocation vector according to any of claims 19 to 36, wherein the carrier moiety peptide is comprised of amino acid residues in their D form.
38. A translocation vector according to any of claims 19 to 37, wherein the carrier moiety peptide is in the *retro* form.
- 25 39. A translocation vector according to claim 23 or 24, wherein both the carrier moiety peptide and the cargo moiety are in the L form.
40. A translocation vector according to claim 23 or 24, wherein both the carrier moiety peptide and the cargo moiety are in the D form.
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WO 00/29427

PCT/GB99/03750

51

41. A translocation vector according to claim 23 or 24, wherein the carrier moiety peptide is in the D form and the cargo moiety in the L form.
42. A translocation vector according to claim 23 or 24, wherein the carrier moiety peptide is in the L form and the cargo moiety in the D form.
43. A vector according to any of claims 19 to 42, that translocates the cell membrane and remains in the cytosol.
44. A vector according to any of claims 19 to 42, that translocates the cell membrane and the nuclear membrane.
45. A membrane translocation peptide carrier moiety comprising up to 15 amino acid residues and at least the peptide for formula;
- RRMKWKK (I)
- or variants thereof.
46. A delivery systems selected from;

#	Drug moiety	Linker moiety	Carrier moiety
	paclitaxel	2'-succinimidopropionoyl-C $\beta$ A	RRMKWKK-NH <sub>2</sub>
	podophyllotoxin	4-succinimidopropionoyl-C $\beta$ A	RRMKWKK-NH <sub>2</sub>
	podophyllotoxin	4-succinimidopropionoyl-C $\beta$ A	(D-R)(D-R)(D-M)(D-K)(D-W)(D-K)(D-K-NH <sub>2</sub> )
	epipodophyllotoxin	4'-succinimidopropionoyl-C $\beta$ A	RRMKWKK-NH <sub>2</sub>
	podophyllotoxin	4-acetyl-C $\beta$ A	RRMKWKK-NH <sub>2</sub>
	4'-demethyl epipodophyllotoxin	4-acetyl-C $\beta$ A	RRMKWKK-NH <sub>2</sub>
	podophyllotoxin	4-succinimidopropionoyl-GC $\beta$ A	RRMKWKK-NH <sub>2</sub>
C-term N-	podophyllotoxin	4-succinimidopropionoyl-C	RRMKWKK

WO 00/29427

PCT/GB99/03750

52

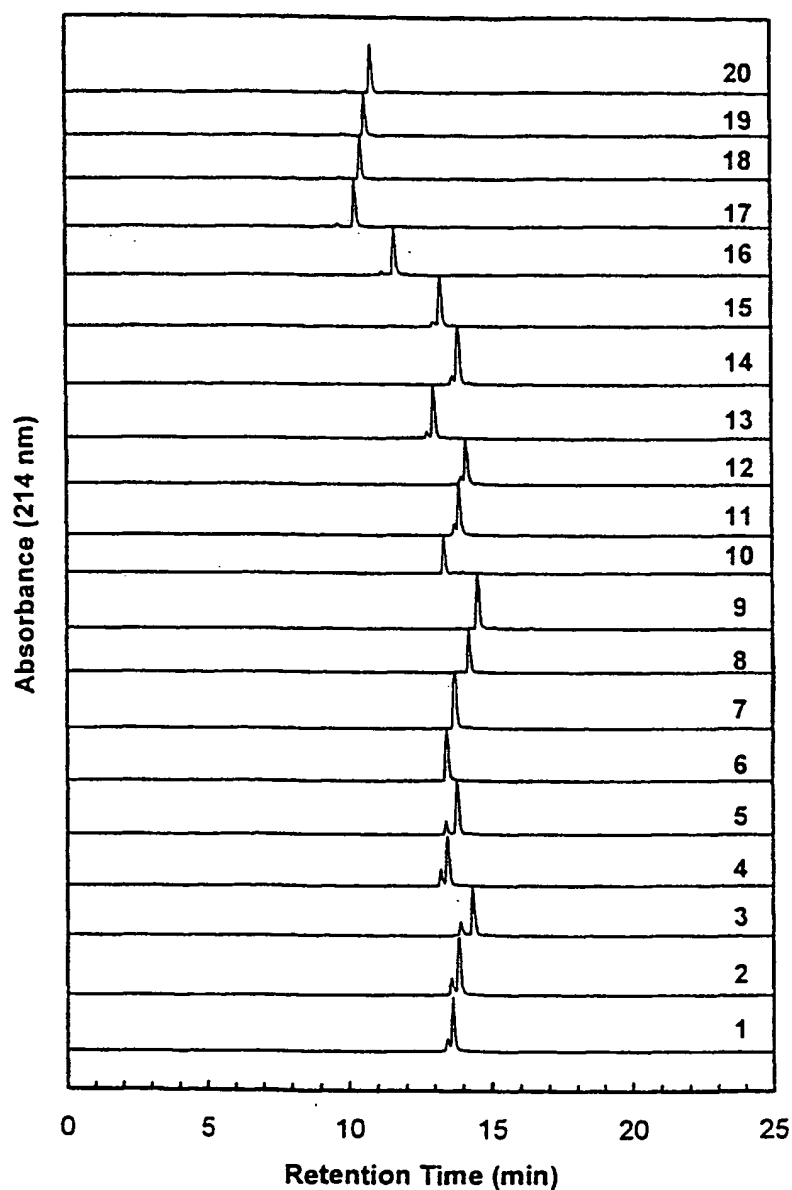
term	podophyllotoxin	4-succinimidopropionoyl-C	
N-term	epipodophyllotoxin	4'-succinimidopropionoyl-C	RRMKWKK
C-term	camptothecin	10-O-succinimidopropionoyl-C	
N-term	epipodophyllotoxin	4'-succinimidopropionoyl-C	RRMKWKK
C-term	paclitaxel	2'-(succinimido)propionoyl-C	
	4'-methoxy-epipodophyllotoxin	4-(4"-aminoanilino)succinimidopropionoyl-CβA	RRMKWKK-NH <sub>2</sub>
	4'-demethyl-epipodophyllotoxin	4-(4"-aminoanilino)succinimidopropionoyl-CβA	RRMKWKK-NH <sub>2</sub>

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WO 00/29427

PCT/GB99/03750

1/5

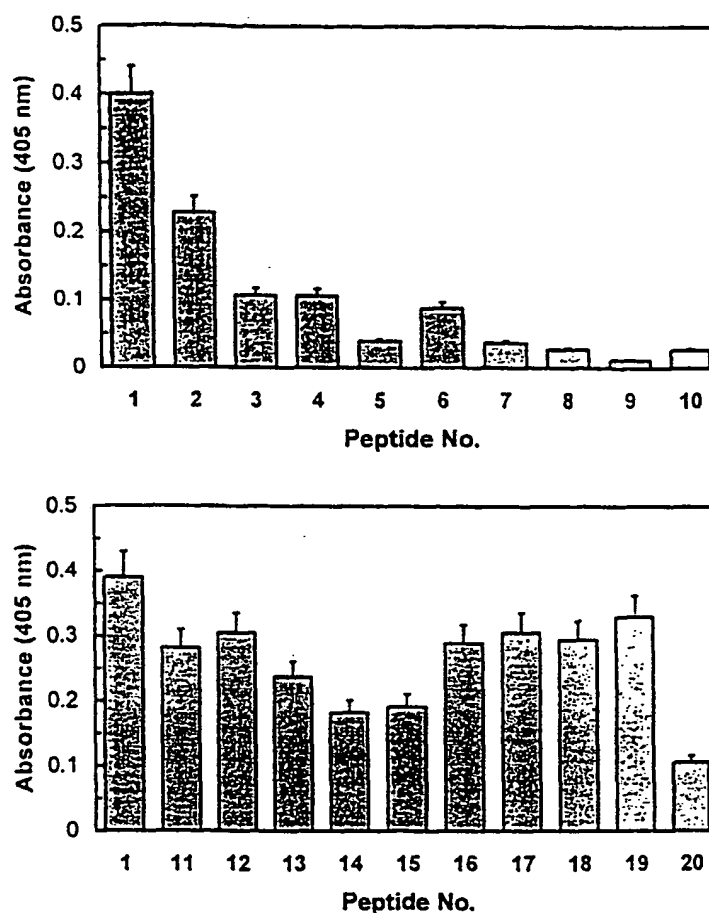


**Figure 1.** *RP-HPLC analysis of simultaneously synthesised peptides.* After purification by solid-phase extraction, the peptides were redissolved at *ca.* 1 mg/mL in 0.1 % aq TFA and 15  $\mu$ L of each solution was injection onto an analytical column (Vydac 218TP54, 1 mL/min, 0 – 60 % MeCN in 0.1 % aq TFA over 20 min.

WO 00/29427

PCT/GB99/03750

2/5



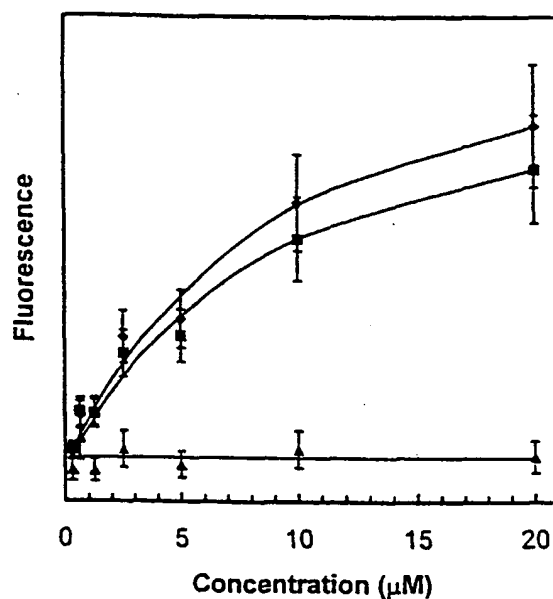
**Figure 2.** *Cell internalisation of truncated Penetratin analogues.* Cells were treated for 60 min with C- (2 – 10) or N-terminally (11 – 20) truncated biotinylated Penetratin analogues at a concentration of 40  $\mu$ M. The cells were then washed, fixed and made permeable. After treatment with streptavidin-APase and renewed washing, the enzymic activity was developed with a chromogenic substrate.



WO 00/29427

PCT/GB99/03750

3/5

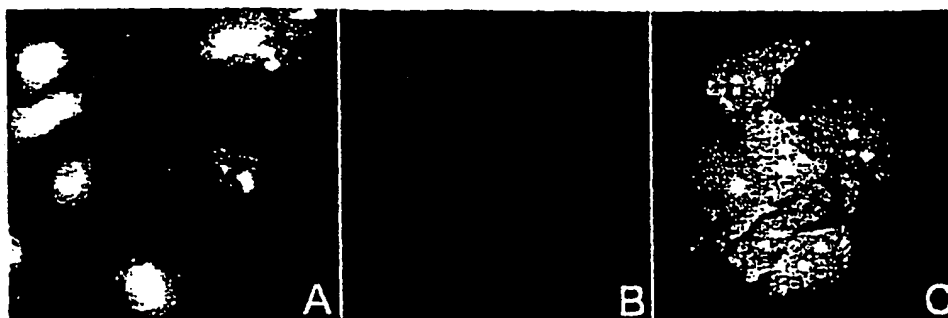


**Figure 3.** *Direct measurement of peptide internalisation into live cells.* Cells were treated with the fluorescent peptide 39 for 10 min (squares) and 60 min (diamonds) or with a control peptide (fluorescein-labelled peptide with unrelated sequence - triangles). They were then rinsed with PBS until no fluorescence was detected in the washings and read immediately in a fluorimeter.

WO 00/29427

PCT/GB99/03750

4/5



**Figure 4.** *Visualisation of Penetratin cell internalisation by fluorescence microscopy.*

Panels A (peptide 1) and B (unrelated biotinylated peptide) show the intracellular distribution after cell fixation and treatment with FITC-avidin. Panel C shows the distribution after treatment of the cells with the fluorescent peptide 39, followed only by extensive washing with PBS (live cells mounted immediately in PBS/glycerol). Peptide incubation was for 30 min at a concentration of 5  $\mu$ M throughout.

WO 00/29427

PCT/GB99/03750

5/5

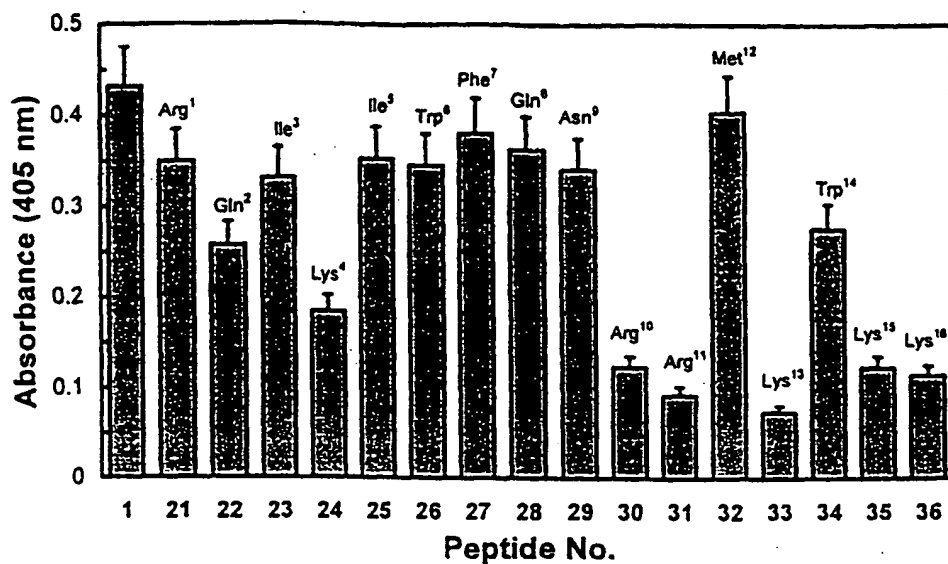


Figure 5. Cell internalisation of modified Penetratin analogues. Cells were treated for 60 min with the Ala substitution peptide analogues 21 – 36, at a concentration of 40  $\mu$ M. The cells were then washed, fixed and made permeable. After treatment with streptavidin-APase and renewed washing, the enzymic activity was developed with a chromogenic substrate.

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